

Steinberg Richard A
Form 4
May 22, 2013

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Steinberg Richard A

2. Issuer Name and Ticker or Trading Symbol
COLUMBUS MCKINNON CORP
[CMCO]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
05/18/2013

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
V.P. - Human Resources

140 JOHN JAMES AUDUBON PARKWAY

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

AMHERST, NY 14228-1197

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Common Stock					6,806	D	
Common Stock	05/18/2013		F	214 ⁽¹⁾ D \$ 18.95	6,592	D	
Common Stock	05/19/2013		F	97 ⁽²⁾ D \$ 18.95	6,495	D	
Common Stock	05/20/2013		A	1,788 ⁽³⁾ A \$ 0	8,283	D	
Common Stock	05/20/2013		A	2,359 ⁽⁴⁾ A \$ 0	10,642 ⁽⁵⁾	D	

Edgar Filing: Steinberg Richard A - Form 4

Common Stock	845.2941 ⁽⁶⁾	D	
Common Stock	514,498.7059	I	Additional shares held by ESOP; reporting person is 1 of 3 trustees; DISCLAIMS beneficial ownership.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Security (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	
Incentive Stock Options (Right to Buy)	\$ 21.61					10/16/2006	10/15/2015	Common Stock	45,000
Incentive Stock Options (Right to Buy)	\$ 28.45					05/19/2009	05/18/2018	Common Stock	1,300
Non-Qualified Stock Options (Right to Buy)	\$ 13.27					05/18/2010	05/17/2019	Common Stock	3,800
Non-Qualified Stock Options (Right to Buy)	\$ 18.24					05/17/2011	05/16/2020	Common Stock	2,700
Non-Qualified Stock Options (Right to Buy)	\$ 19.5					05/23/2012	05/22/2021	Common Stock	2,600

Non-Qualified Stock Options (Right to Buy)	\$ 13.43				05/21/2013	05/20/2022	Common Stock	4,5
Non-Qualified Stock Options (Right to Buy)	\$ 18.95	05/20/2013	A	3,771	(13)	05/20/2023	Common Stock	3,7 (1)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Steinberg Richard A 140 JOHN JAMES AUDUBON PARKWAY AMHERST, NY 14228-1197			V.P. - Human Resources	

Signatures

Richard A.
Steinberg

05/22/2013

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares withheld to satisfy tax withholding obligation upon vesting 507 restricted stock units on 5/18/2013.
- (2) Represents shares withheld to satisfy tax withholding obligation upon vesting 230 restricted stock units on 5/19/2013.
Represents restricted stock units issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.
- (3) Represents performance shares issued to reporting person under the Columbus McKinnon 2010 Long Term Incentive Plan dated as of July 26, 2010, shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
Includes 7,840 shares of restricted stock issued to reporting person subject to forfeiture in whole or part: 368 shares become fully vested and non-forfeitable on 5/17/2014, 1,067 shares become fully vested and non-forfeitable 33.33% per year for three years beginning 5/23/2013, 2,258 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/21/2013, 1,788 shares become fully vested and non-forfeitable 25% per year for four years beginning 5/20/2014; and the remaining 2,359 shares become fully vested and non-forfeitable on 5/21/2015, if reporting person remains an employee of issuer.
- (4) Reports shares allocated to account of reporting person under the Columbus McKinnon Corporation Employee Stock Ownership Plan, as amended (the "ESOP").
- (5) All exercisable, subject to IRS limitations.
- (6) All exercisable, subject to IRS limitations.
- (7) Exercisable 25% per year for four years beginning 5/18/2010, if reporting person remains an employee of issuer.
- (8) Exercisable 25% per year for four years beginning 5/17/2011, if reporting person remains an employee of issuer.
- (9) Exercisable 25% per year for four years beginning 5/23/2012, if reporting person remains an employee of issuer.
- (10) Exercisable 25% per year for four years beginning 5/21/2013, if reporting person remains an employee of issuer.
- (11) Represents non-qualified stock options issued to reporting person under the Columbus McKinnon Corporation 2010 Long Term Incentive Plan dated as of July 26, 2010, subject to forfeiture in whole or part; units become fully vested and non-forfeitable 25% per

Edgar Filing: Steinberg Richard A - Form 4

year for four years beginning 5/20/2014, if reporting person remains an officer of issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.