Edgar Filing: TOMPKINS FINANCIAL CORP - Form 4

TOMPKINS Form 4 July 26, 2007	FINANCIAL CO	ORP										
FORM	4									-	PPROVAL	
	Washington, D.C. 20549						OMB Number:	3235-0287				
Check this if no longe subject to Section 16 Form 4 or Form 5	er STATEN 5.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								burden hou	Expires: January 31 2005 Estimated average burden hours per response 0.5	
obligation may conti <i>See</i> Instru- 1(b).	s Section 17(a) of the		lity Ho	oldi	ing Com	pany	Act o	f 1935 or Sectio	n		
(Print or Type R	esponses)											
1. Name and Address of Reporting Person <u>*</u> Achzet Russell K		2. Issuer Name and Ticker or Trading Symbol TOMPKINS FINANCIAL CORP [TMP]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) TOMPKINS FINANCIAL CORPORATION, P O BOX 460			3. Date of Earliest Transaction (Month/Day/Year) 07/24/2007						X Director 10% Owner Officer (give title Other (specify below) below)			
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)						 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
ITHACA, N	Y 14851								Person		porting	
(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							lly Owned			
1.Title of Security (Instr. 3)		Transaction Date 2A. Deemed both/Day/Year) Execution Date, if any (Month/Day/Year)			8)	4. Securi nAcquirec Disposec (Instr. 3, Amount	l (A) c l of (D))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock	07/24/2007			J		1,533	A	<u>(1)</u>	54,805	I	by Trust	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Relationships **Reporting Owner Name / Address** Director 10% Owner Officer Other Achzet Russell K TOMPKINS FINANCIAL CORPORATION Х P O BOX 460 ITHACA, NY 14851 Signatures Russell K. 07/26/2007 Achzet

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares acquired pursuant to "Escrow Agreement" by and among Tompkins Financial Corporation (fka Tompkins Trustco, Inc.), AM&M (1) Financial Services, Inc. and M&T Bank using a \$40.00 price per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.