#### **ROGERS THOMAS J**

Form 4

August 03, 2007

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **ROGERS THOMAS J** 

2. Issuer Name and Ticker or Trading

Symbol

TOMPKINS FINANCIAL CORP

[TMP]

3. Date of Earliest Transaction

(Month/Day/Year)

08/02/2007

10% Owner

Issuer

(Check all applicable)

TOMPKINS FINANCIAL

(First)

(Street) 4. If Amendment, Date Original

X\_ Officer (give title Other (specify below) Exec. Vice President

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

Applicable Line)

Director

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

CORPORATION, P O BOX 460

Filed(Month/Day/Year)

(Middle)

ITHACA, NY 14851

(City)	(State) (Zi	p) Table 1	I - Non-De	rivative S	ecurit	ies Acquire	ed, Disposed of, o	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 8)	4. Securion(A) or D (Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock - 401K/ESOP	08/02/2007		Code V	Amount 500	(D)	Price \$ 32.956	500	D	
Common Stock - 401K/ESOP	08/02/2007		P	500	A	\$ 33.23	1,000	D	
Common Stock - 401K/ESOP	08/02/2007		P	1,000	A	\$ 33.624	2,000	D	
Common	08/02/2007		P	900	A	\$ 33.75	2,900	D	

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Stock - 401K/ESOP

Common

Stock - 08/02/2007 P 96 A \$33.84 2,996 D

401K/ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transact Code (Instr. 8)	5. ionNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	
			Code V	7 (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Exec. Vice President

ROGERS THOMAS J TOMPKINS FINANCIAL CORPORATION P O BOX 460 ITHACA, NY 14851

**Signatures** 

Thomas J. 08/03/2007 Rogers

\*\*Signature of Date
Reporting Person

Reporting Owners 2

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.