

TOMPKINS FINANCIAL CORP
Form 5
February 13, 2008

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
BANTLE ROBERT B

2. Issuer Name and Ticker or Trading Symbol
TOMPKINS FINANCIAL CORP
[TMP]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2007

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Exec. Vice President

P O BOX 460

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

ITHACA, NY 14851

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Price			
Common Stock	^	^	^	^ ^ ^	322	D	^
Common Stock - 401K/ESOP	^	^	^	^ ^ ^	2,287	D	^

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 2270 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount Underlying Securities (Instr. 3 and 4)
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares
Incentive Stock Option (right to buy)	\$ 28.36	Â	Â	Â	Â Â	Â (1) 07/24/2011	Common Stock 6,65
Incentive Stock Option (right to buy)	\$ 32.23	Â	Â	Â	Â Â	Â (1) 09/30/2012	Common Stock 7,98
Incentive Stock Option (right to buy)	\$ 39.34	Â	Â	Â	Â Â	Â (1) 05/03/2014	Common Stock 5,98
Incentive Stock Option (right to buy)	\$ 41	Â	Â	Â	Â Â	Â (2) 11/29/2017	Common Stock 3,74
Incentive Stock Option (right to buy)	\$ 42.39	Â	Â	Â	Â Â	Â (2) 01/23/2016	Common Stock 7,26
Non-Qualified Stock Option (right to buy)	\$ 39.34	Â	Â	Â	Â Â	Â (1) 05/03/2014	Common Stock 4,90
Non-Qualified Stock Option (right to buy)	\$ 41	Â	Â	Â	Â Â	Â (2) 11/29/2017	Common Stock 6,25
Non-Qualified Stock Option (right to buy)	\$ 42.39	Â	Â	Â	Â Â	Â (2) 01/23/2016	Common Stock 3,74

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

BANTLE ROBERT B
P O BOX 460
ITHACA, NY 14851

Â Â Â Exec. Vice President Â

Signatures

Robert B. Bantle 02/12/2008

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The stock option becomes exercisable in four installments commencing two years after the grant date.
 - (2) The stock option becomes exercisable in six annual installments commencing two years after the date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.