#### LUCENT TECHNOLOGIES INC

Form 4

November 30, 2006

# FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number: 3235-0287

Expires: January 31, 2005

Estimated average burden hours per response... 0.5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue.

Check this box

if no longer

Section 16.

Form 4 or

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 30(n) of the Investment Company A

1(b).

Common

Stock

11/30/2006

(Print or Type Responses)

1. Name and A BREWING	Symbol	LUCENT TECHNOLOGIES INC				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last) 67 WHIPPA		te of Earliest Transaction th/Day/Year) 0/2006				Director 10% Owner X Officer (give title Other (specify below) below)  Pres., Developing Mkts			
WHIPPAN (City)	(Street) Y, NJ 07981 (State)	Filed(Mo	onth/Day/Yea	,		- - I	5. Individual or Joi Applicable Line) X_ Form filed by O Form filed by M Person	ne Reporting Pe ore than One Re	rson porting
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Tau	3.	4. Securities omr Disposed (Instr. 3, 4 and	Acquirof (D)	_	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	11/30/2006		D D	386,234 (1)	D D	\$ 0	0 (1)	D	

1,636.378

(1)

D

\$ 0 0 (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Ι

by 401K

# Edgar Filing: LUCENT TECHNOLOGIES INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V (	A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Share
Deferred Stock Units	<u>(1)</u>	11/30/2006		D	5,216.785	(2)	(2)	Common Stock	5,21
Employee Stock Options (Right to buy)	\$ 1.42	11/30/2006		D	650,000	11/30/2006	12/15/2009	Common Stock	650
Employee Stock Options (Right to buy)	\$ 1.78	11/30/2006		D	1,173,441	11/30/2006	11/24/2007	Common Stock	1,17
Employee Stock Options (Right to buy)	\$ 2.42	11/30/2006		D	607,500	<u>(4)</u>	10/31/2013	Common Stock	60'
Employee Stock Options (Right to buy)	\$ 2.82	11/30/2006		D	647,500	<u>(5)</u>	11/30/2012	Common Stock	64'
Employee Stock Options (Right to buy)	\$ 3.21	11/30/2006		D	650,000	<u>(6)</u>	11/30/2010	Common Stock	650
Employee Stock Options (Right to buy)	\$ 3.955	11/30/2006		D	762,500	<u>(7)</u>	11/30/2011	Common Stock	761

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

BREWINGTON JAMES K 67 WHIPPANY RD WHIPPANY, NJ 07981

Pres., Developing Mkts

### **Signatures**

James K. Brewington, by William R. Carpezzi, Jr. as attorney-in-fact

11/30/2006

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (5) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 12/1/2006.
- (3) Pursuant to the terms of the merger agreement, each option to purchase Lucent stock was converted into the right to purchase 0.1952 of an American Depositary Share of Alcatel-Lucent (the combined company).
- (4) These options, after conversion to Alcatel-Lucent options, will vest in four equal annual installments beginning on 11/1/2007.
- (6) As of 11/30/2006, there were 325,000 options that were fully vested and exercisable. The remaining unvested options, after conversion to Alcatel-Lucent options, will vest in two equal annual installments beginning on 12/1/2006.
- (7) On 11/30/2006, there were 190,526 options that were fully vested and exercisable. The remaining unvested options, after conversion to Alcatel-Lucent options, will vest in three equal annual installments beginning on 12/1/2006.
- (2) Payable generally following termination of employment with the Company or upon attainment of a specified age.
- (1) Pursuant to the terms of the merger agreement between Alcatel and Lucent Technologies Inc., each share of Lucent common stock, par value \$.01 per share, was converted into 0.1952 of an American Depositary Share of Alcatel-Lucent (the combined company).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3