

Edgar Filing: DUNES HOTELS & CASINOS INC - Form 5

DUNES HOTELS & CASINOS INC  
 Form 5  
 February 13, 2002

U. S. SECURITIES AND EXCHANGE COMMISSION

FORM 5

Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue.  
 See instruction 1(b).

Washington, D.C. 20549

Form 3 Holdings Reported.

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERS

Form 4 Transactions Reported.

Filed pursuant to Section 16(a) of the Securities Exchange Act of  
 Utility Holding Company Act of 1935 or Section 30(f) of the In

1. Name and Address of Reporting Person*			2. Issuer Name and Ticker or Trading Symbol		6. Re
			Dunes Hotels and Casinos, Inc.		Is
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(Last)	(First)	(Middle)	3. IRS or Social Security Number of Reporting Person (Voluntary)	4. Statement for Month/Year	___ Of
Herfurth, James				12/2001	(g
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(Street)			5. If Amendment, Date of Original (Month/Year)		7. Indi
225 West Oak Street					(Che
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					--- Pe
					___ Fo
					Re

(City) (State) (Zip) Table 1 - Non-Derivative Securities Acquired, Disposed of  
 Visalia, California 93291

1. Title of Security (Instr. 3)	2. Trans- action date: (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at End of Iss Fiscal Yea (Instr. 3 and 4)
			-----	
			Amount (A) or (D)	Price
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No securit  
 beneficially

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\* If the Form is filed by more than one Reporting Person, see instruction 4(b)(v).  
 Reminder: Report on a separate line for each class of securities beneficially  
 owned directly or indirectly. (Print or Type Re

FORM 5 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
 options, convertible securities)

1. Title of Derivative Security (Inst. 3)	2. Conver- sion or Exercise Price of Deriv- ative Security	3. Trans- action Date (Month/ Day/ Year)	4. Transac- tion Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivativ Security (Instr. 5)
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(A)	(D)	Date Exer- cisable	Expira- tion Date	Title	Amount or Number of Shares
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Explanation of Responses:

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/s/ James Herfurth

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James Herfurth

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which  
must be manually signed. If space provided is  
insufficient, see Instruction 6 for procedure.