

Edgar Filing: NBT BANCORP INC - Form 8-K

NBT BANCORP INC
Form 8-K
December 04, 2003

SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K
CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): December 4, 2003

NBT BANCORP INC.

(Exact name of registrant as specified in it's charter)

DELAWARE	0-14703	16-1268674
-----	-----	-----
(State or other jurisdiction of incorporation)	(Commission File Number)	(IRS Employer Identification No.)

52 SOUTH BROAD STREET, NORWICH, NEW YORK 13815

(Address of principal executive offices)

Registrant's telephone number, including area code: (607) 337-2265

NOT APPLICABLE

(Former name or former address, if changed since last report)

ITEM 7. FINANCIAL STATEMENTS AND EXHIBITS.

(a) Not Applicable

(b) Not Applicable

(c) The following exhibit is furnished as a part of this report:

Exhibit No.	Description
-----	-----
99.1	Notice to directors and executive officers, dated December 4, 2003.

Edgar Filing: NBT BANCORP INC - Form 8-K

ITEM 11. Temporary Suspension of Trading Under Registrant's Employee Benefit Plans.

NBT Bancorp Inc. (the "Company") received notification under Section 101(I)(2)(E) of the Employment Retirement Income Security Act of 1974 regarding a blackout period under the NBT Bancorp Inc. 401(k) and Employee Stock Ownership Plan (the "Plan"). The blackout period is necessary in order to effect a change in the Plan's recordkeeper to EPIC Advisors Inc. On December 3, 2003, as required by Section 306(a) of the Sarbanes-Oxley Act of 2002, the Company provided notice of the blackout period to its directors and those executive officers subject to Section 16 of the Securities Exchange Act of 1934, as amended. A copy of the notice is attached as Exhibit 99.1 to this Current Report on Form 8-K and is incorporated herein by reference.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

NBT BANCORP INC.

(Registrant)

/s/ Michael J. Chewens

Michael J. Chewens
Senior Executive Vice President,
Chief Financial Officer and Corporate Secretary

Date: December 4, 2003

EXHIBIT INDEX

Exhibit No.	Description
-----	-----
99.1	Notice to directors and executive officers, dated December 3, 2003.