Edgar Filing: Leibowitz Shelley B - Form 4

Leibowitz She Form 4 April 03, 201	•											
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION										PPROVAL		
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287				
subject to				GES IN BENEFICIAL OWN					Expires:	January 31,		
								NERSHIP OF	Estimated a	2005 average		
Section 16. SECURITIES Form 4 or							burden hours per					
Form 5									response	0.5		
obligation may contin <i>See</i> Instruct 1(b).	s Section 17(a) of the I	Public Uti		ing Com	pany	Act o	of 1935 or Sectio	n			
(Print or Type R	esponses)											
Leibowitz Shelley B Syml E TI			Symbol	TRADE FINANCIAL CORP				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last)	(First) (M	iddle)		Earliest Tra	insaction			X Director	10%	Owner		
				/Day/Year) 2019				Officer (give below)	titleOtho	er (specify		
(Street) 4. If Amer				ndment, Date Original				6. Individual or Joint/Group Filing(Check				
Filed(Month/Day/Year) NEW YORK, NY 10036							Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting					
(City)		7in)						Person				
(City)	(State) (Z	Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any	on Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) of (D 4 and (A) or)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock	04/01/2019			А	630 <u>(1)</u>	. ,	\$0	20,503	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. 6. Date Exerci Mumber Expiration Da of (Month/Day/Y Derivative Securities Acquired (A) or Disposed of (D)		ate	Secur	ınt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer Other				
Leibowitz Shelley B 11 TIMES SQUARE 32ND FLOOR NEW YORK, NY 10036	Х						
Signatures							
By: Lori Sher For: Shelley B. Leibowitz		04/03/2	019				
<u>**</u> Signature of Reporting Person		Date	e				

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents quarterly board fees deferred into restricted stock units ("DSUs"). Each DSU vests in full on April 1, 2020 and represents a (1) contingent right to receive one share of the Company's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.