General Finance CORP Form 4 October 09, 2013

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

**OMB APPROVAL** 

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**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GAGNON NEIL** 

2. Issuer Name and Ticker or Trading Symbol

General Finance CORP [GFN]

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(First) (Middle) 3. Date of Earliest Transaction

(Check all applicable) Director

X\_\_ 10% Owner

1370 AVENUE OF THE AMERICAS, 24TH FLOOR

4. If Amendment, Date Original

Officer (give title below)

Other (specify

(Street)

Filed(Month/Day/Year)

(Month/Day/Year)

10/04/2013

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

NEW YORK, NY 10019

(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Code V

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if (Month/Day/Year)

4. Securities Acquired (A) 3. Transaction Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

Ownership Form: Direct (D) or Indirect

7. Nature of Indirect Beneficial Ownership (Instr. 4)

(A) or Amount

(D) Price

Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)

Ι

By

Common Stock

10/04/2013

134,376 A J(1)

4,069,278

Managing Member of General

Gagnon Investment Associates

Partner of

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form

SEC 1474 (9-02)

### Edgar Filing: General Finance CORP - Form 4

displays a currently valid OMB control

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	ate	Amoun		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8) Derivative		;		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Own
	Security				Acquired						Follo
	·				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date Expiratio Exercisable Date	Expiration	Title	or Number		
							Date	of			
				C-J- V	(A) (D)						
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

GAGNON NEIL 1370 AVENUE OF THE AMERICAS 24TH FLOOR NEW YORK, NY 10019

X

## **Signatures**

/s/ Neil Gagnon 10/08/2013

\*\*Signature of Date
Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction reflects the in-kind transfer as a contribution of common stock of General Finance Corporation (the "Securities") from the Lois E. & Neil J. Gagnon Foundation Inc. (the "Foundation") to Gagnon Investment Associates ("GIA"). In return for the additional Securities, GIA issued to the Foundation an increase in the Foundation's capital account. This transfer reflects a change in the form of the Reporting Person's beneficial ownership in the Securities. As a result of this transfer of Securities, the Reporting Person's pecuniary interest in GIA decreased from approximately 35% to approximately 24.51%. The Reporting Person disclaims beneficial ownership of the Securities held by GIA, except to the extent of the Reporting Person's pecuniary interest in such Securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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