

General Finance CORP
Form 4
December 30, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
GAGNON NEIL

(Last) (First) (Middle)

1370 AVENUE OF THE AMERICAS, 24TH FLOOR

(Street)

NEW YORK, NY 10019

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
General Finance CORP [GFN]

3. Date of Earliest Transaction (Month/Day/Year)
12/12/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X_ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Preferred Stock ⁽¹⁾	12/12/2016		S	113 D	\$ 91.5 16,142	I	By Managing Member as General Partner of Gagnon Investment Associates
Preferred Stock ⁽¹⁾	12/16/2016		S	1,600 D	\$ 90.42 14,542	I	By Managing Member as General

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Preferred Stock <u>(1)</u>	12/20/2016	S	145	D	\$ 90.5	14,397	I	Partner of Gagnon Investment Associates By Managing Member as General Partner of Gagnon Investment Associates
Preferred Stock <u>(1)</u>	12/21/2016	S	415	D	\$ 91	13,982	I	By Managing Member as General Partner of Gagnon Investment Associates
Preferred Stock <u>(1)</u>	12/22/2016	S	364	D	\$ 90.95	13,618	I	By Managing Member as General Partner of Gagnon Investment Associates
Preferred Stock <u>(1)</u>	12/23/2016	S	106	D	\$ 91	13,512	I	By Managing Member as General Partner of Gagnon Investment Associates
Preferred Stock <u>(1)</u>	12/28/2016	S	183	D	\$ 91.01	13,329	I	By Managing Member as General Partner of Gagnon Investment Associates
Preferred Stock <u>(1)</u>						12,185	D	
						576	I	

Preferred Stock <u>(1)</u>							By self as Trustee of Gagnon Securities LLC Profit Sharing Plan
Preferred Stock <u>(1)</u>				2,053		I	By Limited Partner of the Family Partnership
Preferred Stock <u>(1)</u>				1,497		I	By Managing Member as General Partner of Darwin Partnership

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
GAGNON NEIL 1370 AVENUE OF THE AMERICAS	X

24TH FLOOR
NEW YORK, NY 10019

Signatures

/s/ Neil Gagnon

12/30/2016

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 9.00% Series C Cumulative Redeemable Perpetual Preferred Stock

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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