#### GENESIS ENERGY LP

Form 4

October 04, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

**OMB APPROVAL** 

3235-0287 Number:

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * ALBERT CONRAD P |                         |   | 2. Issuer Name <b>and</b> Ticker or Trading Symbol GENESIS ENERGY LP [GEL] | 5. Relationship of Reporting Person(s) to Issuer   |  |  |
|---|-------------------------|---|--|--|--|--|
| (Last)  | (Last) (First) (Middle) |   | 3. Date of Earliest Transaction  | (Check all applicable)   |  |  |
| 919 MILAM, SUITE 2100                                     |                         | 0 | (Month/Day/Year)<br>10/01/2016   | _X_ Director 10% Owner Officer (give title below) Other (specify below)                              |  |  |
|   | (Street)                |   | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check  |  |  |
| HOUSTON, TX 77002   |                         |   | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| HOUSTON, IA / | 7002 |  |  |
|---------------|------|--|--|
|               |      |  |  |
|               |      |  |  |

| (City)                               | (State)                                 | (Zip) Tabl  | e I - Non-D                            | Derivative Secu   | rities Acq         | uired, Disposed o  | f, or Beneficial   | ly Owned  |
|--------------------------------------|---|---|--|---|--------------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactic<br>Code<br>(Instr. 8) | 4. Securities A or(A) or Dispose (Instr. 3, 4 and (A) or Amount (D) | ed of (D)          | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Units -<br>Class A (1)     | 10/01/2016                              |   | M                                      | 483 <u>(1)</u> A  | (2)                | 5,483  | D  |   |
| Common<br>Units -<br>Class A (1)     | 10/01/2016                              |   | D                                      | 483 <u>(1)</u> D  | \$<br>35.47<br>(2) | 5,000  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

D

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|---|---|-----|--|--------------------|---|--|
|   |   |                                      |   | Code V                                  | (A)   | (D) | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Phantom<br>Units                                    | <u>(2)</u>  | 10/01/2016                           |   | M                                       |   | 483 | 10/01/2016   | 10/01/2016         | Common<br>Units -<br>Class A                                  | 483                                    |
| Phantom<br>Units                                    | (3) (4)   | 10/03/2016                           |   | A                                       | 689   |     | 10/03/2019   | 10/03/2019         | Common<br>Units -<br>Class A                                  | 689                                    |

## **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |
| ALBERT CONRAD P                |               |           |         |       |  |  |  |
| 919 MILAM, SUITE 2100          | X             |           |         |       |  |  |  |
| HOUSTON, TX 77002              |               |           |         |       |  |  |  |

## **Signatures**

Conrad P.
Albert

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The payment of the phantom units in cash is deemed to be a disposition of the phantom units in exchange for the acquisition of the underlying Common Units Class A and a simultaneous disposition of the underlying Common Units Class A to the issuer.
- (2) Upon vesting, the phantom units were paid in cash based on the average closing price of the Common Units Class A for the 20 trading days immediately prior to the date of vesting.
- (3) The phantom units will be paid in cash based on the average closing price of the Common Units Class A for the 20 trading days immediately prior to the vesting date.
- (4) Award includes tandem distribution equivalent rights pursuant to which the quarterly distributions paid by the partnership on each Common Unit Class A will be accrued over the vesting period and paid quarterly.

Reporting Owners 2

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.