

ESCO TECHNOLOGIES INC

Form 8-K/A

November 29, 2001

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Table of Contents

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K/A

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported): November 28, 2001

ESCO TECHNOLOGIES INC.
(Exact Name of Registrant as Specified in Charter)

Missouri
(State or Other
Jurisdiction of Incorporation)

1-10596
(Commission
File Number)

43-1554045
(I.R.S. Employer
Identification No.)

8888 Ladue Road, Suite 200, St. Louis, Missouri
(Address of Principal Executive Offices)

63124-2056
(Zip Code)

Registrant's telephone number, including area code: 314-213-7200

TABLE OF CONTENTS

ITEM 7. FINANCIAL STATEMENTS, PRO FORMA FINANCIAL INFORMATION AND EXHIBITS

SIGNATURE

EXHIBIT INDEX

Information Included on Registrant's Website

Table of Contents

ITEM 7. FINANCIAL STATEMENTS, PRO FORMA FINANCIAL INFORMATION AND EXHIBITS

This Current Report on Form 8-K/A amends the Registrant's Current Report on Form 8-K dated November 28, 2001 and filed with the Securities and Exchange Commission to correct an error in Exhibit 99.2 attached thereto.

(c) Exhibits

Exhibit No.	Description of Exhibit
99.2	Information included on Registrant's website as of November 28, 2001.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ESCO TECHNOLOGIES INC.

Dated: November 28, 2001

By: /s/ A.S. Barclay

A.S. Barclay
Vice President, Secretary and
General Counsel

Table of Contents**EXHIBIT INDEX**

Exhibit No.	Description of Exhibit
99.2	Information included on Registrant's website as of November 28, 2001.

Defined " 50,000 LOCKHEED MARTIN CORP COM 539830 10 9 6,108 75,500SH Defined " 75,500 LOWES COS INC COM 548661 10 7 3,173 125,000SH Defined " 125,000 MYR GROUP INC DEL COM 55405W 10 4 7,362 384,615SH Defined " 384,615 MCGRAW HILL COS INC COM 580645 10 9 5,396 120,000SH Defined " 120,000 MEDTRONIC INC COM 585055 10 6 4,590 120,000SH Defined " 120,000 MERCK & CO INC NEW COM 58933Y 10 5 9,463 251,000SH Defined " 251,000 METLIFE INC COM 59156R 10 8 4,350 139,500SH Defined " 139,500 MICROSOFT CORP COM 594918 10 4 16,913 651,500SH Defined " 651,500 MOTRICITY INC COM 620107 10 2 51 56,525SH Defined " 56,525 NATIONAL INTERSTATE CORP COM 63654U 10 0 251,634 10,200,000SH Defined " 10,200,000 NEW YORK CMNTY BANCORP INC COM 649445 10 3 4,330 350,000SH Defined " 350,000 O CHARLEYS INC COM 670823 10 3 162 29,500SH Defined " 29,500 OMEROS CORP COM 682143 10 2 403 102,040SH Defined " 102,040 PATTERSON UTI ENERGY INC COM 703481 10 1 799 40,000SH Defined " 40,000 PEOPLES UNITED FINANCIAL INC COM 712704 10 5 10,666 830,000SH Defined " 830,000 PEPSICO INC COM 713448 10 8 14,269 215,050SH Defined " 215,050 PFIZER INC COM 717081 10 3 9,046 418,000SH Defined " 418,000 PROCTER & GAMBLE CO COM 742718 10 9 8,672 130,000SH Defined " 130,000 PRUDENTIAL FINL INC COM 744320 10 2 1,754 35,000SH Defined " 35,000 RAYTHEON CO COM NEW 755111 50 7 3,653 75,500SH Defined " 75,500 STAPLES INC COM 855030 10 2 2,084 150,000SH Defined " 150,000 TARGET CORP COM 87612E 10 6 14,342 280,000SH Defined " 280,000 3M CO COM 88579Y 10 1 13,199 161,500SH Defined " 161,500 TIME WARNER INC COM NEW 887317 30 3 6,820 188,716SH Defined " 188,716 TORTOISE ENERGY CAP CORP COM 89147U 10 0 692 25,800SH Defined " 25,800 UNITED CONTL HLDGS INC COM 910047 10 9 1,887 100,024SH Defined " 100,024 VERISK ANALYTICS INC CL A 92345Y 10 6 130,240 3,245,453SH Defined " 3,245,453 VIRGIN MEDIA INC COM 92769L 10 1 15,774 737,807SH Defined " 737,807 WAL MART STORES INC COM 931142 10 3 11,354 190,000SH Defined " 190,000 WALGREEN CO COM 931422 10 9 8,149 246,500SH Defined " 246,500 WASTE MGMT INC DEL COM 94106L 10 9 15,043 459,900SH Defined " 459,900 WELLS FARGO & CO NEW COM 949746 10 1 9,370 340,000SH Defined " 340,000 HOLDINGS OF GREAT AMERICAN LIFE INSURANCE COMPANY ----- AIR PRODS & CHEMS INC COM 009158 10 6 4,685 55,000SH Defined " 55,000 AMERICAN RAILCAR INDS INC COM 02916P 10 3 708 29,581SH Defined " 29,581 ANNALY CAP MGMT INC COM 035710 40 9 1,277 80,000SH Defined " 80,000 ANWORTH MORTGAGE ASSET CP COM 037347 10 1 785 125,000SH Defined " 125,000 APPLE INC COM 037833 10 0 4,050 10,000SH Defined " 10,000 CALAMP CORP COM 128126 10 9 597 140,750SH Defined " 140,750 CITIGROUP INC COM NEW 172967 42 4 5,525 210,000SH Defined " 210,000 COMCAST CORP NEW CL A 20030N 10 1 8,773 370,000SH Defined " 370,000 CUMULUS MEDIA INC CL A 231082 10 8 104 31,032SH Defined " 31,032 DELTA AIR LINES INC DEL COM NEW 247361 70 2 217 26,829SH Defined " 26,829 DEX ONE CORP COM 25212W 10 0 18 10,772SH Defined " 10,772 DISH NETWORK CORP CL A 25470M 10 9 1,609 56,512SH Defined " 56,512 EHOSTAR CORP CL A 278768 10 6 779 37,179SH Defined " 37,179 ELLINGTON FINANCIAL LLC COM 288522 30 3 12,039 701,153SH Defined " 701,153 ENTERPRISE PRODS PARTNERS L COM 293792 10 7 5,439 117,276SH Defined " 117,276 FLAGSTAR BANCORP INC COM NEW 337930 50 7 8 16,500SH Defined " 16,500 FULL HOUSE RESORTS INC COM 359678 10 9 83 31,617SH Defined " 31,617 GENERAL ELECTRIC CO COM 369604 10 3 8,507 475,000SH Defined " 475,000 HEWLETT PACKARD CO COM 428236 10 3 3,143 122,000SH Defined " 122,000 INSTEEL INDUSTRIES INC COM 45774W 10 8 244 22,216SH Defined " 22,216 JPMORGAN CHASE & CO COM 46625H 10 0 2,993 90,000SH

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Defined " 90,000 LEUCADIA NATL CORP COM 527288 10 4 189 8,315SH Defined " 8,315 LINCOLN NATL CORP IND COM 534187 10 9 3,301 170,000SH Defined " 170,000 MARATHON OIL CORP COM 565849 10 6 7,903 270,000SH Defined " 270,000 METLIFE INC COM 59156R 10 8 9,844 315,700SH Defined " 315,700 MOTRICITY INC COM 620107 10 2 76 84,787SH Defined " 84,787 O CHARLEYS INC COM 670823 10 3 111 20,256SH Defined " 20,256 OMEROS CORP COM 682143 10 2 605 153,061SH Defined " 153,061 ORACLE CORP COM 68389X 10 5 1,924 75,000SH Defined " 75,000 PATTERSON UTI ENERGY INC COM 703481 10 1 799 40,000SH Defined " 40,000 PLAINS ALL AMERN PIPELINE L UNIT LTD PARTN 726503 10 5 12,854 175,000SH Defined " 175,000 PRUDENTIAL FINL INC COM 744320 10 2 4,010 80,000SH Defined " 80,000 REDWOOD TR INC COM 758075 40 2 2,545 250,000SH Defined " 250,000 TARGET CORP COM 87612E 10 6 1,537 30,000SH Defined " 30,000 TORTOISE ENERGY CAP CORP COM 89147U 10 0 2,305 85,900SH Defined " 85,900 VIRGIN MEDIA INC COM 92769L 10 1 8,805 411,838SH Defined " 411,838 WAL MART STORES INC COM 931142 10 3 598 10,000SH Defined " 10,000 HOLDINGS OF OTHER ENTITIES EACH HAVING LESS THAN \$100 MILLION IN 13F SECURITIES ----- ACE LTD SHS H0023R 10 5 4,418 63,000SH Sole 63,000 AT&T INC COM 00206R 10 2 620 20,500SH Defined 1 20,500 ABBOTT LABS COM 002824 10 0 512 9,100SH Defined " 9,100 ACTUATE CORP COM 00508B 10 2 96 16,313SH Defined " 16,313 AMERICAN RAILCAR INDS INC COM 02916P 10 3 909 38,000SH Defined 2 38,000 BANK OF AMERICA CORPORATION COM 060505 10 4 2,633 473,480SH Defined 1 473,480 BERKLEY W R CORP COM 084423 10 2 4,024 117,000SH Sole 117,000 BLDRS INDEX FDS TR EMER MK 50 ADR 09348R 30 0 1,797 47,209SH Defined 1 47,209 CAMPBELL SOUP CO COM 134429 10 9 499 15,000SH Defined " 15,000 CARDINAL FINL CORP COM 14149F 10 9 111 10,296SH Defined " 10,296 CHEVRON CORP NEW COM 166764 10 0 372 3,500SH Defined " 3,500 CHUBB CORP COM 171232 10 1 4,915 71,000SH Sole 71,000 CLAYMORE EXCHANGE TRD FD TR GUG MULTI ASSET 18383M 50 6 498 24,355SH Defined 1 24,355 CONOCOPHILLIPS COM 20825C 10 4 510 7,000SH Defined " 7,000 DELTA AIR LINES INC DEL COM NEW 247361 70 2 105 13,003SH Defined 1,2 13,003 DU PONT E I DE NEMOURS & CO COM 263534 10 9 252 5,500SH Defined 1 5,500 EATON CORP COM 278058 10 2 501 11,500SH Defined " 11,500 EATON VANCE TAX MNGD GBL DV COM 27829F 10 8 330 40,000SH Defined " 40,000 FIRST FINL BANCORP OH COM 320209 10 9 80 4,784SH Defined " 4,784 GENERAL ELECTRIC CO COM 369604 10 3 627 35,000SH Defined " 35,000 GENERAL MLS INC COM 370334 10 4 606 15,000SH Defined " 15,000 ILLINOIS TOOL WKS INC COM 452308 10 9 257 5,500SH Defined " 5,500 INDEXIQ ETF TR IQ GLB RES ETF 45409B 88 3 828 29,545SH Defined " 29,545 ISHARES TR MSCI EAFE INDEX 464287 46 5 1,888 38,127SH Defined " 38,127 ISHARES TR S&P MIDCAP 400 464287 50 7 1,315 15,009SH Defined " 15,009 JOHNSON & JOHNSON COM 478160 10 4 538 8,200SH Defined " 8,200 KEYCORP NEW COM 493267 10 8 402 52,222SH Defined " 52,222 KIMBERLY CLARK CORP COM 494368 10 3 600 8,150SH Defined " 8,150 LTX-CREDENCE CORP COM NEW 502403 20 7 92 17,169SH Defined " 17,169 LEAPFROG ENTERPRISES INC CL A 52186N 10 6 120 21,483SH Defined " 21,483 LEGGETT & PLATT INC COM 524660 10 7 657 28,500SH Defined " 28,500 LEUCADIA NATL CORP COM 527288 10 4 12 526SH Defined " 526 LILLY ELI & CO COM 532457 10 8 665 16,000SH Defined " 16,000 LOCKHEED MARTIN CORP COM 539830 10 9 655 8,100SH Defined " 8,100 MANAGED HIGH YIELD PLUS FD I COM 561911 10 8 21 10,000SH Defined " 10,000 MEADOWBROOK INS GROUP INC COM 58319P 10 8 136 12,741SH Defined " 12,741 MERCK & CO INC NEW COM 58933Y 10 5 754 20,000SH Defined 20,000 MICROSOFT CORP COM 594918 10 4 389 15,000SH Defined 1 15,000 O CHARLEYS INC COM 670823 10 3 134 24,398SH Defined 24,398 PETROQUEST ENERGY INC COM 716748 10 8 78 11,803SH Defined 1 11,803 PFIZER INC COM 717081 10 3 433 20,000SH Defined 20,000 PHILIP MORRIS INTL INC COM 718172 10 9 510 6,500SH Defined 1 6,500 POWERSHARES ETF TRUST DYNAMIC MKT PT 73935X 10 4 1,083 26,391SH Defined " 26,391 POWERSHARES ETF TRUST HI YLD EQ DVDN 73935X 30 2 277 29,947SH Defined " 29,947 PROCTER & GAMBLE CO COM 742718 10 9 626 9,391SH Defined " 9,391 RAYTHEON CO COM NEW 755111 50 7 585 12,100SH Defined " 12,100 REVENUESHARES ETF TR SMALL CAP FD 761396 30 8 671 20,932SH Defined " 20,932 SPDR S&P 500 ETF TR TR UNIT 78462F 10 3 1,349 10,749SH Defined " 10,749 SELECT SECTOR SPDR TR SBI MATERIALS 81369Y 10 0 256 7,628SH Defined " 7,628 SELECT SECTOR SPDR TR SBI CONS STPLS 81369Y 30 8 327 10,052SH Defined " 10,052 SYSCO CORP COM 871829 10 7 557 19,000SH Defined " 19,000 THOMSON REUTERS CORP COM 884903 10 5 480 18,000SH Defined " 18,000 VANGUARD SPECIALIZED PORTFOL DIV APP ETF 921908 84 4 497 9,094SH Defined " 9,094 VANGUARD INDEX FDS REIT ETF 922908 55 3 1,169

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20,161SH Defined " 20,161 VIACOM INC NEW CL B 92553P 20 1 3,633 80,000SH Defined 80,000 VIRGIN
MEDIA INC COM 92769L 10 1 12,594 589,050SH Defined 2 589,050 WISCONSIN ENERGY CORP COM 976657
10 6 559 16,000SH Defined 1 16,000 ----- REPORT TOTAL \$1,009,673 =====