

JOHNSON CONTROLS INC

Form 4

July 06, 2006

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**VOLTOLINA FRANK A**

(Last) (First) (Middle)

**5757 N. GREEN BAY  
AVENUE, P.O. BOX 591**

(Street)

**MILWAUKEE, WI 53201-0591**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol

**JOHNSON CONTROLS INC [JCI]**

3. Date of Earliest Transaction  
(Month/Day/Year)

**07/03/2006**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)

Vice President and Treasurer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Common<br>Stock                       | 07/03/2006                              |                                                             | A                                    | 30.159                                                                  | A \$<br>82.8942                                                                                                    | 5,286.246 <sup>(1)</sup>                                                | D                                                                 |
| Common<br>Stock                       |                                         |                                                             |                                      |                                                                         | 789.39 <sup>(2)</sup>                                                                                              | I                                                                       | By 401(k)<br>Plan<br>Trust                                        |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

# Edgar Filing: JOHNSON CONTROLS INC - Form 4

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5.<br>Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |
|-------------------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------|
|                                                             |                                                                       |                                         |                                                             | Code                                    | V (A) (D)                                                                                                             | Date Exercisable<br>Expiration<br>Date                         | Title<br>Amount or<br>Number of<br>Shares                           |
| Phantom<br>Stock<br>Units -<br>Annual<br>Incentive<br>Plan  | (3)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (4) (4)                                                        | Common<br>Stock 1,627.74                                            |
| Phantom<br>Stock<br>Units -<br>Excess<br>Benefit<br>Plan    | (3)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (6) (6)                                                        | Common<br>Stock 1,535.75                                            |
| Phantom<br>Stock<br>Units -<br>Restricted<br>Stock<br>Grant | (8)                                                                   |                                         |                                                             |                                         |                                                                                                                       | (8) (8)                                                        | Common<br>Stock 4,028.42                                            |
| Stock<br>Option                                             | \$ 43.425                                                             |                                         |                                                             |                                         |                                                                                                                       | 06/16/2005(10) 06/16/2013                                      | Common<br>Stock 8,000                                               |
| Stock<br>Option                                             | \$ 52.55                                                              |                                         |                                                             |                                         |                                                                                                                       | 11/19/2005(10) 11/19/2013                                      | Common<br>Stock 8,000                                               |
| Stock<br>Option                                             | \$ 61.69                                                              |                                         |                                                             |                                         |                                                                                                                       | 11/17/2006(10) 11/17/2014                                      | Common<br>Stock 16,000                                              |
| Stock<br>Option                                             | \$ 67.685                                                             |                                         |                                                             |                                         |                                                                                                                       | 11/16/2007(10) 11/16/2015                                      | Common<br>Stock 20,000                                              |

## Reporting Owners

Reporting Owner Name / Address

Relationships

Director

10% Owner

Officer

Other

VOLTOLINA FRANK A  
5757 N. GREEN BAY AVENUE  
P.O. BOX 591  
MILWAUKEE, WI 53201-0591

Vice  
President and  
Treasurer

## Signatures

Arlene D. Gumm, Attorney-in-fact for Frank A.  
Voltolina

07/06/2006

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 17.878 shares acquired through the reinvestment of dividends on June 30, 2006, at a price of \$81.9283 per share.  
The number of underlying securities is based on the stock fund balance on July 3, 2006. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on July 3, 2006, stock fund price of \$82.80 per share.
- (2) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.
- (3) The phantom stock units were accrued under the Johnson Controls Annual Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (4) Includes 5.524 phantom stock units acquired through reinvestment of dividends on June 30, 2006, at a price of \$82.88 per phantom unit.
- (5) The phantom stock units were accrued under the Johnson Controls Equalization 401(k) Benefit Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.
- (6) Includes 5.213 phantom stock units acquired through reinvestment of dividends on June 30, 2006, at a price of \$82.88 per phantom unit.  
Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were accrued under the Johnson Controls Restricted Stock Plan. The units represent the reinvestment of dividends and are to be settled 100% in cash upon the vesting of the reporting person's Restricted Stock.
- (7) Includes 13.672 phantom stock units acquired through reinvestment of dividends on June 30, 2006, at a price of \$82.88 per phantom unit.
- (8) Fifty percent of the options vest after two years and the remaining 50% vests after three years.
- (9)
- (10)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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