

AMERICAN AXLE & MANUFACTURING HOLDINGS INC

Form SD

May 24, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM SD

SPECIALIZED DISCLOSURE REPORT

AMERICAN AXLE & MANUFACTURING HOLDINGS, INC.

(Exact Name of Registrant as Specified in Its Charter)

Delaware

(State or Other Jurisdiction of Incorporation or
Organization)

1-14303

(Commission File
Number)

38-3161171

(I.R.S. Employer Identification
No.)

One Dauch Drive, Detroit, Michigan

(Address of Principal Executive Offices)

48211-1198

(Zip Code)

David E. Barnes (313) 758-2000

(Name and telephone number, including area code, of the person to contact in connection with this report.)

Check the appropriate box to indicate the rule pursuant to which this form is being filed and provide the period to which the information in this form applies:

Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2016.

Section 1 - Conflict Minerals Disclosure

Item 1.01 - Conflict Minerals Disclosure and Report

Pursuant to Rule 13p-1 under the Securities Exchange Act of 1934, American Axle & Manufacturing Holdings, Inc. (the "Company" or "AAM") has determined that it is required to file a Conflict Minerals Report, which is filed as Exhibit 1.01 to this Specialized Report on Form SD. AAM's Conflict Minerals Report is also available on our website at

[https://www.aam.com/docs/default-source/suppliers-portal-documents/conflict-minerals/aam-conflict-minerals-policy.pdf?sfvr](https://www.aam.com/docs/default-source/suppliers-portal-documents/conflict-minerals/aam-conflict-minerals-policy.pdf?sfvrsn=)

Item 1.02 - Exhibit

A copy of the Company's Conflict Minerals Report for the period of January 1, 2016 through December 31, 2016 is filed as Exhibit 1.01 hereto and is also available on our website at the address referenced in Item 1.01 above.

Section 2 - Exhibits

Item 2.01 - Exhibits

The following exhibit is filed as part of this report:

Exhibit 1.01 - Conflict Minerals Report for the period from January 1, 2016 through December 31, 2016 as required by Items 1.01 and 1.02 of this Form SD.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the duly authorized undersigned.

American Axle & Manufacturing Holdings, Inc.
(Registrant)

/s/ David E. Barnes Date: May 24, 2017
Vice President & General Counsel