

Edgar Filing: DUPEE PAUL R JR - Form 4

DUPEE PAUL R JR
 Form 4
 January 09, 2003

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
 WASHINGTON, D.C. 20549
 FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

() Check this box if no longer subject to Section 16.
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person
 Dupee, Jr., Paul R.

 10 Wilton Row
 London, SW1X7NR
 ENGLAND
2. Issuer Name and Ticker or Trading Symbol
 Maxicare Health Plans, Inc.
 MAXI
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year
 January 9, 2003
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)
 (X) Director () 10% Owner (X) Officer (give title below) () Other
 (specify below)
 President and Chairman
7. Individual or Joint/Group Filing (Check Applicable Line)
 (X) Form filed by One Reporting Person
 () Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security | 2. Transaction Date | 3. Transaction Code | 4. Securities Acquired (A) or Disposed of (D) Amount | 5. Amount of Securities Beneficially Owned at End of Month |
|----------------------|---------------------|---------------------|---|--|
| Common Stock | 12/31/02 | P | 150,000 | A \$0.01 790,200 |

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Derivative Security | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date | 4. Transaction Code | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) Amount | 6. Date Exercisable and Expiration Date Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities Title and Number of Shares | 8. Payment of Underlying Securities |
|---------------------------------|--|---------------------|---------------------|--|--|--|-------------------------------------|
|---------------------------------|--|---------------------|---------------------|--|--|--|-------------------------------------|

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| | | | | | | | | | | |
|--------|--------|-------|---|-------|---|-------|-------|--------------|-------|---|
| Option | \$6.00 | 01/02 | A | 5,000 | A | 07/02 | 01/02 | Common Stock | 5,000 | * |
| | | /99 | | | | /99 | /09 | | | |

Explanation of Responses:

* These options were granted pursuant to the Company's Outside Directors 1996 Formula Stock Option Plan and became exercisable six months from the date of grant.

SIGNATURE OF REPORTING PERSON

Paul R. Dupee, Jr.

DATE

January , 2003