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CITY OF LONDON INVESTMENT GROUP PLC Form 4 July 09, 2018 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading CITY OF LONDON INVESTMENT Issuer Symbol **GROUP PLC** TEMPLETON DRAGON FUND (Check all applicable) INC [TDF] (Last) (First) (Middle) 3. Date of Earliest Transaction Director X__ 10% Owner Officer (give title Other (specify (Month/Day/Year) below) below) 77 GRACECHURCH STREET 07/06/2018 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Dav/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting LONDON, X0 EC3V0AS Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 5. Amount of 7. Nature of 3. 4. Securities Acquired 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial any (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price As Common investment Stock, par adviser to a 944.874⁽¹³⁾ I value private \$.01 per investment share ⁽¹⁾ fund (14) As Common investment Stock, par adviser to a value 338,032 (13) I private \$.01 per investment share (2)fund (14)

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Common Stock, par value \$.01 per share (<u>3)</u>							796,525 <u>(13)</u>	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (4)							491,741 <u>(13)</u>	I	As investment adviser to a private investment fund (14)
Common Stock, par value .01 per share (5)	07/06/2018	07/06/2018	Р	11,514	A	\$ 20.72	880,182 <u>(13)</u>	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share <u>(6)</u>							283,373 <u>(13)</u>	I	As investment adviser to a Dublin, Ireland-listed open-ended investment company (14)
Common Stock, par value \$.01 per share (7)	07/06/2018	07/06/2018	Р	25,000	A	\$ 20.72	709,975 <u>(13)</u>	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (8)							958,336 <u>(13)</u>	I	As investment adviser to a private investment fund (14)
Common Stock, par value \$.01 per share (9)							159,406 <u>(13)</u>	I	As investment adviser to a private investment fund (14)
Common Stock, par value							168,267 <u>(13)</u>	Ι	As investment adviser to a

Derivative SecurityConversion or Exercise(Month/Day/Year)Execution Date, if anyTransactionNumber CodeExpiration Date of (Month/Day/Year)Amount of UnderlyingDerivative SecurityDerivative Security(Instr. 3)Price of Derivative(Month/Day/Year)(Instr. 8)Derivative SecuritiesSecurities(Instr. 5)Ber Ow SecuritiesBer Ow SecuritiesSecuritySecuritySecurities(Instr. 3 and 4)Ow Fol Rep DisposedFol Tra		-	agai i mig. Ori									
Common Stock, par value 160,212 (13) I investment adviser to a private investment fund (12) Common Stock, par value 07/06/2018 07/06/2018 P 50,000 A \$ 20,72 3,943,588 I investment investment adviser to unaffiliated third-party segregated accounts (14) Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02) 1. Title of Security 2. Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) Security 6. Date Exercisable and Anount of Derivative Securities 7. Title and Security Securities (Instr. 3) 8. Price of Derivative Security 9. Tansaction/Date (f.g., puts, calls, warrants, options, convertible Security 6. Date Exercisable and Anount of Derivative Securities 7. Title and Security Securities (Instr. 3, 4, and 5) 8. Price of Date 9. Title and Anount of Number 8. Price of Number 9. Title Security										investn		
Common Stock, par value share (12) 07/06/2018 P 50,000 A \$2,72 3,943,588 (13) I investment adviser to unaffiliated third-party segregated accounts (14) Reminder: keptot on a separate line for each class of securities beneficially owned (e.g., puts, calls, warrants, options, convertible Security I SEC 1474 (9-02) SEC 1474 (9-02) 1. Title of Derivative Security 2. Transaction Date Nrice of Derivative Security 3. Transaction Date (muth/Day/Year) 3. Deemed Security 4. Transaction Date Nrice of O(Instr. 3) 6. Date Exercisable and Code of (Instr. 3) 7. Title and Amount of Date 8. Price of Security Securities 9. Title securities I. Title of Derivative Security 2. Transaction Date Nrice of Or Derivative 3. Transaction Date (Month/Day/Year) 3. Deemed Security 4. Transaction Pate Code Of (Instr. 3) 6. Date Exercisable and Of (Instr. 3) 7. Title and Amount of Instr. 5) 8. Price of OW 9. Title (Instr. 3)	Stock, par value \$.01 per						160,212	2 <u>(13)</u> I		investn adviser private investn	to a nent	
1. Title of Derivative Security 2. Conversion or Exercise Security 3. Transaction Date (Month/Day/Year) 3A. Deemed any (Month/Day/Year) 4. Security 5. Transaction Date (Month/Day/Year) 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Berivative Code of (Instr. 3) 8. Price of Price of Derivative Security 8. Price of Price of Derivative Security 9. N Security I. Title of Security 2. (Month/Day/Year) 3. Transaction Date (Month/Day/Year) 3A. Deemed Execution Date, if any (Month/Day/Year) 4. Security 5. Security 6. Date Exercisable and Expiration Date (Month/Day/Year) 7. Title and Amount of Underlying Security 8. Price of 9. N Derivative Securities Instr. 3) Derivative Price of Derivative Security 3. Deemed (Month/Day/Year) 4. Securities 5. Securities 6. Date Exercisable and Amount of Underlying Securities 7. Title and Mount of Underlying Securities 8. Price of 9. N Ow Securities 9. N Securities Instr. 3) Security Securities Instr. 3. A, and 5) Securities Instr. 3. A Mount Securities	Stock, par value \$.01 per		18 07/06/201	8 P	50,000	A ^{\$} 20.7	3,943,58 2 (13)	⁸⁸ I		investn adviser unaffili third-pa segrega	to ated arty ated	
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					Code V	(A) (D)			Title	or Number of		

Reporting Owners

Reporting Owner Name / Address CITY OF LONDON INVESTMENT GROUP PLC

77 GRACECHURCH STREET LONDON, X0 EC3V0AS

Relationships

Director 10% Owner Officer Other

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CITY OF LONDON INVESTMENT MANAGEMENT COLID	
77 GRACECHURCH STREET	Х
LONDON, X0 EC3V0AS	
Signatures	
/s/ Barry M. Olliff, Director - City of London Group PLC	07/09/2018
**Signature of Reporting Person	Date
/s/ Barry M. Olliff, Director - City of London Investment Management Company Limited	07/09/2018
**Signature of Reporting Person	Date
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Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

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- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by EM Special Situations CEF Focused Fund.
- (12) These securities are beneficially owned by 13 unaffiliated third-party segregated accounts.
- (13) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.

As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company
(14) Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 13 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.