#### Edgar Filing: KINGSWAY FINANCIAL SERVICES INC - Form 4

#### KINGSWAY FINANCIAL SERVICES INC

Form 4 June 03, 2016

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Estimated average

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Fitzgerald John Taylor Maloney Issuer Symbol KINGSWAY FINANCIAL (Check all applicable) SERVICES INC [KFS] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) KINGSWAY FINANCIAL 06/03/2016 Executive Vice President SERVICES INC., 150 PIERCE ROAD, SUITE 600 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting ITASCA, IL 60143 (City) (State) (Zip) Table I. Non-Darivative Securities Acquired Disposed of or Reneficially Owned

` •	` /	Table	: 1 - Non-D	erivative	securi	iues Acc	luirea, Disposea o	n, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of			d of	Securities	Form: Direct	Indirect
(Instr. 3)		any	Code	(D)	Beneficially (D) or I (A) Owned Indirect (I) (I (B) Following (Instr. 4) (I (C) Reported (C) Transaction(S) (C) (Instr. 3 and 4)			Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)				` '	Ownership
							υ	(Instr. 4)	(Instr. 4)
				(A)		*			
							` '		
			Code V	Amount		Price	(Instr. 3 and 4)		
Common	06/03/2016		P	2,420	A	\$	207,574	D	
Stock	00/03/2010		1	2,120	11	5.04	207,574	D	
Common						Ф			
	06/03/2016		P	400	A	\$	207,974	D	
Stock						5.03			
Common						\$			
	06/03/2016		Р	100	A		208,074	D	
Common Stock	06/03/2016		P	100	A	\$ 5.02	208,074	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not (9-02)

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title	of 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Title and	d 8. Price of	9. Nu
Derivat	ive Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	Expiration Date		Derivative	Deriv
Securit	y or Exercise		any	Code	of	(Month/Day/	/Year)	Underlying	g Security	Secui
(Instr. 3	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Securities	(Instr. 5)	Bene
	Derivative				Securities	3		(Instr. 3 an	d 4)	Own
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Λ	aunt	
									ount	
						Date		Title Number of Shares	nhar	
						Exercisable			iibei	
				C + V	(A) (D)					
				Code V	(A) (D)			Shai	res	

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Fitzgerald John Taylor Maloney KINGSWAY FINANCIAL SERVICES INC. 150 PIERCE ROAD, SUITE 600 ITASCA, IL 60143

X

**Executive Vice President** 

### **Signatures**

/s/ John Taylor Maloney Fitzgerald

06/03/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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