

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

UNIVERSAL CORP /VA/  
Form 4  
March 02, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, D.C. 20549  
FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

( ) Check this box if no longer subject to Section 16.  
Form 4 or Form 5 obligations may continue. See Instructions 1(b).

1. Name and Address of Reporting Person  
HARRELL, HENRY H.  
1501 NORTH HAMILTON STREET  
RICHMOND, VA 23230  
USA
2. Issuer Name and Ticker or Trading Symbol  
UNIVERSAL CORPORATION  
UVV
3. IRS or Social Security Number of Reporting Person (Voluntary)
4. Statement for Month/Year  
02/01
5. If Amendment, Date of Original (Month/Year)
6. Relationship of Reporting Person(s) to Issuer (Check all applicable)  
(X) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
(specify below)  
CHAIRMAN & CEO
7. Individual or Joint/Group Filing (Check Applicable Line)  
(X) Form filed by One Reporting Person  
( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D) Amount	A/D	Price	5. Amount of Securities Beneficially Owned at End of Month
Common Stock	2/14/01	M	24,088	A	\$33.25	
Common Stock	2/14/01	S	21,800 (1)	D	\$36.68	
Common Stock	2/14/01	S	1,072 (2)	D	\$36.68	1,216
Common Stock	2/20/01	M	43,766	A	\$35.3125	
Common Stock	2/20/01	S	41,555 (1)	D	\$37.1919	
Common Stock	2/20/01	S	1,035 (2)	D	\$37.1919	1,176
Common Stock						144,913

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Common Stock								42,479	
Common Stock								334	
Common Stock								550	
							Total	190,668	

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date	4. Transaction Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities	8. Put or Call
Options to Buy Common Stock	\$33.25	2/14/01	M	24,088	D 6/15/99 12/4/01	Common Stock (6)	
Options to Buy Common Stock	\$35.3125	2/20/01	M	43,766	D 12/15/98 12/4/01	Common Stock (6)	

Explanation of Responses:

(1) Sale of shares to pay the exercise price on a cashless exercise

(2) Sale of shares to pay the taxes on a cashless exercise

(3) shares held in the Stock Purchase Plan

(4) number of share held in the Dividend Reinvestment Plan

(5) Custodian account for children

(6) shares issued under the Executive Stock Plan

SIGNATURE OF REPORTING PERSON

Henry H. Harrell, signature on file, filed electronically  
DATE

March 2, 2001