Edgar Filing: BUON CRISTIANI RALPH ROY - Form 4

BUON CRISTIANI RALPH ROY

Form 4

January 21, 2003

FORM 4	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549	OMB APPROVAL
o Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP	
continue. See Instruction 1(b). (Print or Type Responses)	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940	OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response 0.5

			6. Relationship of Reporting Person(s) to Issuer
			(Check all applicable)
			ý Director
1. Name and Address of Reporting Person *			o 10% Owner
Buon-Cristiani Ralph Roy	2. Issuer Name and Ticker or Trading Symbol		• Officer (give title below)
(Last) (First) (Middle)	Cathay Bancorp, Inc.	4. Statement for (Month/Day/Year)	
	САТҮ	1/16/2003	• Other (specify below)
777 North Broadway			
(Street)			
Los Angeles CA 90012 (City) (State) (Zip)	3. I.R.S. Identification Number of Reporting Person, if an entity (voluntary)	5. If Amendment, Date of Original (Month/Day/Year)	 7. Individual or Joint/Group Filing (Check Applicable Line) ý Form filed by One Reporting Person a Form filed by More than One Reporting Person
			o Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of	2. Transaction Date (Month/Day/	Execution	3. Transaction Code	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	Owned Following	or Indirect (I)	7. Nature of Indirect	
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		Code	V	Amount	(A) or (D)	Price			
Common Stock							217,110	D	
Common Stock							30,793	I	as trusteee for grandchildren

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	2.		2. Conversion 3. 3A. Deemed			5. Num of Deriva Securi Acquin (A) or Dispos of (D) (Instr. and 5)	tive ties red sed 3, 4	e s 6. Date Exercisable and			le and unt of rlying rities : 3 and 4)		9. Number of Derivative Securities Beneficially	10. Ownership Form of Derivative Securities:	
Derivative Security	or Exercise Price of	Transaction Date (Month/Day/	3A. Deemed Execution Date, if any (Month/Day/ Year)	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	or Number of	8. Price of Derivative Security	Following Reported	Direct (D)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
Option *	\$16.50							(1)	9/17/08	С	600		600	D	
Option *	\$21.25							(2)	1/20/10	С	1,000		1,000	D	
Option *	\$30.095							(3)	1/18/11	С	1,000		1,000	D	
Option *	\$32.55							(4)	2/21/12	С	1,000		1,000	D	
Option	\$39.85	1/16/03		Α		1,900		(5)	1/16/13	С	1,900		1,900	D	

Explanation of Responses:

Previously reported.

(1) The option vests in 3 equal annual installments beginning 9/17/01.

(2) The option vests in 5 equal annual installments beginning 1/20/01.

(3) The option vests in 5 equal annual installments beginning 1/18/02.

(4) The option vests in 5 equal annual installments beginning 2/21/03.

(5) The option vests in 5 equal annual installments beginning 1/16/04.

/s/ Ralph Roy Buon-Cristiani	1/21/03
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

http://www.sec.gov/divisions/corpfin/forms/form4.htm

Last update: 09/05/2002