

EGAIN Corp
Form 4
January 21, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SINHA GUNJAN

(Last) (First) (Middle)

1252 BORREGAS AVENUE

(Street)

SUNNYVALE, CA 94089

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EGAIN Corp [egan]

3. Date of Earliest Transaction
(Month/Day/Year)
11/27/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/08/2000		M	20,000	A \$ 125	20,000	D
Common Stock	11/27/2013		S	20,000	D \$ 11.4352 (1) (2) (3)	20,000	D
Common Stock	08/08/2000		M	10,000	A \$ 125	10,000	D
Common Stock	11/29/2013		S	10,000	D \$ 11.4937 (4)	10,000	D
Common	08/08/2000		M	10,000	A \$ 125	10,000	D

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Stock

Common Stock	12/04/2013	S	10,000	D	\$ 11.0562 (5)	10,000	D
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Preferred Convertible Shares	\$ 125	11/27/2013		M		20,000		08/08/2000	12/31/2013	Common Stock	20,000
Preferred Converitble Stock	\$ 125	11/29/2013		M		10,000		08/08/2000	12/31/2013	Common Stock	10,000
Preferred Convertible Stock	\$ 125	12/04/2013		M		10,000		08/08/2000	12/31/2013	Common Stock	10,000

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
SINHA GUNJAN 1252 BORREGAS AVENUE SUNNYVALE, CA 94089	X

Signatures

/s/ Gunjan Sinha	01/21/2014
**Signature of Reporting Person	Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Represents weighted average sale price. Actual sale prices ranged from \$11.09 and \$11.64.
- (2) Reporting person undertakes to provide upon request by the Securities Exchange and Commission, the issuer, or a securityholder of the issuer detailed information regarding the price and number of shares sold within the range indicated.
- (3) This sale of common stock was effected pursuant to Rule 10b5 trading plan adopted by the reporting person on 11/22/2013.
- (4) Represents weighted average sale price. Actual sale prices ranged from \$10.50 and \$11.60.
- (5) Represents weighted average sale price. Actual sale prices ranged from \$11.05 and \$11.09.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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