

ILLUMINA INC  
Form 4  
February 21, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WALT DAVID R**

(Last) (First) (Middle)  
9885 TOWNE CENTRE DRIVE  
(Street)

SAN DIEGO, CA 92121-1975

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**ILLUMINA INC [ILMN]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/20/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	02/20/2007	02/20/2007	S		100 <sup>(1)</sup>	D	\$ 36.01	278,880	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S		100 <sup>(1)</sup>	D	\$ 36.04	278,780	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S		100 <sup>(1)</sup>	D	\$ 36.1	278,680	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S		100 <sup>(1)</sup>	D	\$ 36.12	278,580	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S		100 <sup>(1)</sup>	D	\$ 36.15	278,480	I	by Spouse
	02/20/2007	02/20/2007	S		200 <sup>(1)</sup>	D		278,280	I	by Spouse

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Common Stock						\$ 36.18			
Common Stock	02/20/2007	02/20/2007	S	200 <u>(1)</u>	D	\$ 36.23	278,080	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	500 <u>(1)</u>	D	\$ 36.24	277,580	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.26	277,480	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	300 <u>(1)</u>	D	\$ 36.29	277,180	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.31	277,080	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.32	276,980	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.34	276,880	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.37	276,780	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.38	276,680	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	200 <u>(1)</u>	D	\$ 36.39	276,480	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	200 <u>(1)</u>	D	\$ 36.4	276,280	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.42	276,180	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.44	276,080	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.46	275,980	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.47	275,880	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.49	275,780	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.5	275,680	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.53	275,580	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	200 <u>(1)</u>	D	\$ 36.54	275,380	I	by Spouse
	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D		275,280	I	by Spouse

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Common Stock						\$ 36.57			
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.61	275,180	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.62	275,080	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.71	274,980	I	by Spouse
Common Stock	02/20/2007	02/20/2007	S	100 <u>(1)</u>	D	\$ 36.78	274,880	I	by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Beneficially (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
WALT DAVID R 9885 TOWNE CENTRE DRIVE SAN DIEGO, CA 92121-1975		X		

## Signatures

By: Jeffrey Eidel For: David R.  
Walt 02/21/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale was made pursuant to a 10B5-1 plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. 2pt; text-indent: 22pt; text-align: justify">Not applicable.

### Item 6. Ownership of More Than Five Percent on Behalf of Another Person

Not applicable.

### Item 7. Identification and Classification of the Subsidiary which Acquired the Security Being Reported on by the Parent Holding Company or Control Person

Not applicable.

### Item 8. Identification and Classification of Members of the Group

Not applicable.

### Item 9. Notice of Dissolution of Group

Not applicable.

### Item 10. Certification

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

CUSIP No. 316394105

**SCHEDULE 13G**

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**SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 13, 2018

**RMB Capital Holdings, LLC** By: /s/ Walter Clark Name: Walter Clark Title: Manager

**RMB Capital  
Management,  
LLC**

**Iron  
Road  
Capital  
Partners  
LLC**

**RMB  
Mendon  
Managers,  
LLC**

**Mendon Capital Advisors Corp**

By: /s/ Lisa M. Tamburini  
Name: Lisa M. Tamburini  
Title: Chief Compliance Officer

Explanation of Responses:



CUSIP No. 316394105

**SCHEDULE 13G**

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**EXHIBIT 1**

**JOINT FILING AGREEMENT**

**PURSUANT TO RULE 13d-1(k)**

RMB Capital Holdings, LLC, a Delaware Limited Liability Company, RMB Capital Management, LLC (an investment adviser registered under the Investment Advisers Act of 1940), Iron Road Capital Partners, LLC, a Delaware Limited Liability Company, RMB Mendon Managers, LLC, a Delaware Limited Liability Company; and Mendon Capital Advisors Corp., a Delaware Corporation (an investment adviser registered under the Investment Advisers Act of 1940), hereby agree to file jointly the statement on this Schedule 13G to which this Agreement is attached, and any amendments thereto which may be deemed necessary.

It is understood and agreed that each of the parties hereto is responsible for the timely filing of such statement and any amendments thereto, and for the completeness and accuracy of the information concerning such party contained therein, but such party is not responsible for the completeness or accuracy of information concerning the other party unless such party knows or has reason to believe that such information is inaccurate.

It is understood and agreed that a copy of this Agreement shall be attached as an exhibit to the statement on Schedule 13G, and any amendments thereto, filed on behalf of each of the parties hereto

DATE: February 13, 2018

**RMB Capital Holdings, LLC** By: /s/ Walter Clark Name: Walter Clark Title: Manager

**RMB Capital  
Management,  
LLC**

**Iron  
Road  
Capital  
Partners**

Explanation of Responses:

**LLC**

**RMB  
Mendon  
Managers,  
LLC**

**Mendon Capital Advisors Corp**

By: /s/ Lisa M. Tamburini

Name: Lisa M. Tamburini

Title: Chief Compliance Officer