Edgar Filing: Au Yeung Steve - Form 4

| Au Yeung S Form 4 October 21, | | | | | | | | | | | | |
|--|---|--|----------|--------------------------------------|------|--|--|-------------------|---|---|---------|--|
| FORM | ЛЛ | | | | | | | | | OMB AF | PROVAL | |
| UNITED STATES SECU | | | | | | AND EXC , D.C. 205 | MMISSION | OMB Number: | 3235-0287 | | | |
| Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). TATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | January 31 Expires: 2005 Estimated average burden hours per response 0.5 | | | | | |
| (Print or Type | Responses) | | | | | | | | | | | |
| Au Yeung Steve Symb | | | Symbol | | | d Ticker or T P [ORCL] | Trading | 0 | 5. Relationship of Reporting Person(s) to ssuer | | | |
| (Last) (First) (Middle) 3. Date of | | | | of Earliest Transaction Day/Year) | | | | | (Check all applicable) Director 10% Owner Officer (give title Other (specify below) below) below) Executive Vice President | | | |
| | (Street) | | | endment onth/Day/` | | ate Original r) | | Aj | Individual or Join oplicable Line) K_Form filed by Or | ne Reporting Per | rson | |
| RENO, NV | 89519 | | | | | | | Pe | _ Form filed by Mo erson | ore man One Rej | porting | |
| (City) | (State) | (Zip) | Tab | ole I - No | on-l | Derivative S | ecurit | ies Acquir | ed, Disposed of, | or Beneficiall | y Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution I any (Month/Day | Date, if | Code (Instr. 8 | 3) | 4. Securitie nor Disposec (Instr. 3, 4 a | l of (D and 5) (A) or |)) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6.7. Nature ofOwnershipIndirectForm:BeneficialDirect (D)Ownershipor Indirect(Instr. 4)(I)(Instr. 4) | | |
| Common Stock | 10/19/2010 | | | Code M | v | Amount 150,000 | (D) A | Price \$ 23.18 | 163,356 | D | | |
| Common Stock | 10/19/2010 | | | М | | 103,609 | А | \$ 21.04 | 266,965 | D | | |
| Common Stock | 10/19/2010 | | | М | | 13,910 | А | \$ 13.93 | 280,875 | D | | |
| Common Stock | 10/19/2010 | | | М | | 4,655 | A | \$ 14.47 | 285,530 | D | | |
| Common Stock | 10/19/2010 | | | S | | 272,174 | D | \$ 28.833 | 13,356 <u>(2)</u> | D | | |

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(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|---|---------|--|--------------------|---|----------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option | \$ 23.18 | 10/19/2010 | | М | | 150,000 | (3) | 06/05/2018 | Common Stock | 150,000 |
| Stock Option | \$ 21.04 | 10/19/2010 | | М | | 103,609 | (3) | 07/02/2019 | Common Stock | 103,609 |
| Stock Option | \$ 13.93 | 10/19/2010 | | М | | 13,910 | (4) | 04/11/2014 | Common Stock | 13,910 |
| Stock Option | \$ 14.47 | 10/19/2010 | | М | | 4,655 | (5) | 05/10/2016 | Common Stock | 4,655 |

Reporting Owners

| Reporting Owner Name / Address | | | Relationships | | | |
|--|----------|-----------|--------------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Au Yeung Steve C/O DELPHI ASSET MANAGEMENT CORP. 6005 PLUMAS STREET, SUITE 100 RENO, NV 89519 | | | Executive Vice President | | | |
| Signatures | | | | | | |
| /s/ Rita S. Dickson by Rita S. Dickson, attorney-in-fact for Steve Au Yeung (POA filed | | | | | | |

7/12/10)

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This transaction was executed in multiple trades at prices ranging from \$28.8006 to \$28.8567. The price reported above reflects the
 (1) weighted average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (2) This includes 6,678 shares of unvested restricted stock units.
- (3) Option vests 25% annually on anniversary of grant date.
- (4) Option vests monthly with next vest date on 11/11/10 and will be fully vested on 4/11/11.
- (5) Option fully vested and exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.