

NACCO INDUSTRIES INC
Form 5
February 13, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
RANKIN CLAIBORNE R

(Last) (First) (Middle)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2013

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

 Director 10% Owner
 Officer (give title below) Other (specify below)
Group Member

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 220

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Amount Price			
Class A Common Stock	12/23/2013	Â	G	46 A \$ 0 (1)	26,494	I	By Trust (2)
Class A Common Stock	12/30/2013	Â	G	41 A \$ 0 (1)	10,841	I	By Trust/Daughter (3)
	12/20/2013	Â	G	7 A	26,494	I	By Trust (2)

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Class A Common Stock						\$ 0 <u>(1)</u>			
Class A Common Stock	12/23/2013	Â	G	44	A	\$ 0 <u>(1)</u>	10,841	I	By Trust/Daughter <u>(3)</u>
Class A Common Stock	12/30/2013	Â	G	41	A	\$ 0 <u>(1)</u>	8,373	I	By Trust (Son) <u>(4)</u>
Class A Common Stock	12/30/2013	Â	G	41	A	\$ 0 <u>(1)</u>	5,357	I	By Trust (Daughter) <u>(4)</u>
Class A Common Stock	12/23/2013	Â	G	44	A	\$ 0 <u>(1)</u>	8,373	I	By Trust (Son) <u>(4)</u>
Class A Common Stock	12/23/2013	Â	G	44	A	\$ 0 <u>(1)</u>	5,357	I	By Trust (Daughter) <u>(4)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,116	I	By Assoc II/Spouse <u>(5)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	2,783	I	By Spouse/Trust <u>(6)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	6	I	By GP <u>(7)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	39,461	I	By Assoc II <u>(8)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	22,385	I	By RA4 <u>(9)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	1,975	I	By RMI (Delaware) <u>(10)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	10,141	I	By Assoc II/Son <u>(11)</u>
Class A Common Stock	Â	Â	Â	Â	Â	Â	13,141	I	By Assoc II/Daughter <u>(11)</u>

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pri Deriv Secur (Instr.
					(A) (D)	Date Exercisable Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	Â	Â	Â	Â	Â Â	Â (1) Â (1)	Class A Common Stock	50,000
Class B Common Stock	Â	Â	Â	Â	Â Â	Â (1) Â (1)	Class A Common Stock	5,143
Class B Common Stock	Â	Â	Â	Â	Â Â	Â (1) Â (1)	Class A Common Stock	5,143
Class B Common Stock	Â	Â	Â	Â	Â Â	Â (1) Â (1)	Class A Common Stock	5,143

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- (8) Represents the Reporting Person's proportionate limited partnership interests in shares held by Rankin Associates II, L.P.-----
- (9) RA4. Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates IV, L.P.
- (10) Represents the Reporting Person's proportionate interest in shares held by Rankin Management, Inc. ("RMI").
- (11) Represents the Reporting Person's child's proportionate limited partnership interest in shares held by Rankin Associates II, L.P., which is held in a trust for the benefit of the child. Reporting Person is the co-trustee of the Trust. Reporting Person disclaims beneficial ownership of all such shares.
- (12) Represents the Reporting Person's proportionate limited partnership interest in shares held by Rankin Associates I, L.P.----- -

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Remarks:

"Remark on Insider Relationship" - As a member of a "group" deemed to own more than 10% of

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