**ILLUMINA INC** Form 4 August 13, 2015

## FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

Common

Common

Common

Stock

Stock

Stock

08/13/2015

08/13/2015

08/13/2015

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading FLATLEY JAY T Issuer Symbol ILLUMINA INC [ILMN] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner Other (specify X\_ Officer (give title 5200 ILLUMINA WAY 08/13/2015 below) below) Chief Executive Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SAN DIEGO, CA 92122 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount (D) Price 25,000 Common 08/13/2015 M A \$ 27.97 449,996 D Stock (1)

1,500

10,734

12,466

(1)

(1)

(1)

S

S

S

\$

(2) \$

(3)

(4)

206.371

448,496

207.597 437,762

208.216 425,296

D

D

D

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

D

D

D

Estimated average

burden hours per

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 Common Stock
 08/13/2015
 S
 300 (1) D
 \$ 209.15 (5) (5)
 424,996 D
 D

 Common Stock
 6,000 I
 By Daughters

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | 5. Number of orderivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |               | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amou<br>Underlying Securi<br>(Instr. 3 and 4) |                          |
|---|---|--------------------------------------|---|--------|---|---------------|--|--------------------|--|--------------------------|
|   |   |                                      |   | Code V | (A)   | (D)           | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amo<br>or<br>Nun<br>of S |
| Non-qualified<br>Stock Option<br>(Right to Buy)     | \$ 27.97  | 08/13/2015                           |   | M      |   | 25,000<br>(1) | 03/01/2009   | 01/29/2019         | Common<br>Stock  | 25,                      |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

FLATLEY JAY T
5200 ILLUMINA WAY
Chief Executive Officer
SAN DIEGO, CA 92122

### **Signatures**

By: Scott M. Davies for Jay T.
Flatley

08/13/2015

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sale was made pursuant to a 10b5-1 plan.

**(2)** 

Reporting Owners 2

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Weighted average sale price representing 1,500 shares sold ranging from \$205.88 to \$206.645 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

- (3) Weighted average sale price representing 10,734 shares sold ranging from \$206.92 to \$207.91 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (4) Weighted average sale price representing 12,466 shares sold ranging from \$207.93 to \$208.92 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- Weighted average sale price representing 300 shares sold ranging from \$209.15 to \$209.15 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.