

UMB FINANCIAL CORP
Form 4
December 03, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KEMPER J MARINER

2. Issuer Name and Ticker or Trading Symbol
UMB FINANCIAL CORP [UMBF]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
1010 GRAND BLVD.

(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/01/2015

Director 10% Owner
 Officer (give title below) Other (specify below)
Chairman and CEO

KANSAS CITY, MO 64106

(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (D) Price			
Common Stock	12/01/2015		S	100 D \$ 52.04	132,309.6852 (1)	D	
Common Stock	12/01/2015		S	100 D \$ 52.07	132,209.6852	D	
Common Stock	12/01/2015		S	100 D \$ 52.13	132,109.6852	D	
Common Stock	12/01/2015		S	100 D \$ 52.16	132,009.6852	D	
Common Stock	12/01/2015		S	200 D \$ 52.17	131,809.6852	D	

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Common Stock	12/01/2015	S	100	D	\$ 52.19	131,709.6852	D	
Common Stock	12/01/2015	S	200	D	\$ 52.22	131,509.6852	D	
Common Stock	12/01/2015	S	200	D	\$ 52.24	131,309.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.28	131,209.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.3	131,109.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.31	131,009.6852	D	
Common Stock	12/01/2015	S	200	D	\$ 52.32	130,809.6852	D	
Common Stock	12/01/2015	S	177	D	\$ 52.35	130,632.6852	D	
Common Stock	12/01/2015	S	200	D	\$ 52.36	130,432.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.37	130,332.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.39	130,232.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.42	130,132.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.43	130,032.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.46	129,932.6852	D	
Common Stock	12/01/2015	S	35	D	\$ 52.5	129,897.6852	D	
Common Stock	12/01/2015	S	200	D	\$ 52.52	129,697.6852	D	
Common Stock	12/01/2015	S	100	D	\$ 52.55	129,597.6852	D	
Common Stock	12/01/2015	S	188	D	\$ 52.56	129,409.6852	D	
Common Stock						1,844.963 ⁽²⁾	I	By Esop
Common Stock						12,284	I	By Trust - RC Kemper For John

Common Stock				60,800	I	By Trust - TUW RC Kemper For John Mariner
Common Stock				290,397	I	Held by Kemper Realty
Common Stock				395,989	I	Held by Pioneer Service Corporation
Common Stock				2,161,386	I	By Trust - RC Kemper Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
				Code	V (A) (D)				

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KEMPER J MARINER 1010 GRAND BLVD.	X		Chairman and CEO	

KANSAS CITY, MO 64106

Signatures

/s/ John C. Pauls, Attorney-in-fact for Mr.
Kemper

12/03/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares acquired from dividend reinvestment
 - (2) Reflects ESOP allocations and dispositions that have occurred since the date of the reporting person's last ownership report

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.