

FIRST SOLAR, INC.
Form 4
August 08, 2016

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
ANTOUN GEORGES

(Last) (First) (Middle)

C/O FIRST SOLAR INC., 350
WEST WASHINGTON STREET,
SUITE 600

(Street)

TEMPE, AZ 85281-1244

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
FIRST SOLAR, INC. [FSLR]

3. Date of Earliest Transaction
(Month/Day/Year)
08/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
Chief Commercial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
				Code	V	Amount	
Common Stock	08/04/2016		S	D	34,693 (1)	\$ 44 (2)	151,471 D
Common Stock	08/04/2016		S	D	33,779 (1)	\$ 44.9 (3)	117,692 D
Common Stock	08/04/2016		S	D	4,500 (1)	\$ 45.8 (4)	113,192 D
Common Stock	08/04/2016		S	D	2,198 (1)	\$ 46.82 (5)	110,994 D
	08/04/2016		S	D			108,307 D

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Common Stock			2,687 <u>(1)</u>		\$ 48.26 <u>(6)</u>		
Common Stock	08/04/2016		S <u>(1)</u>	3,500 <u>(1)</u>	D	\$ 49.32 <u>(7)</u>	104,807 D
Common Stock	08/05/2016		S <u>(8)</u>	52,404	D	\$ 43.96	52,403 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address	Relationships
ANTOUN GEORGES C/O FIRST SOLAR INC. 350 WEST WASHINGTON STREET, SUITE 600 TEMPE, AZ 85281-1244	Director 10% Owner Officer Chief Commercial Officer

Signatures

/s/ Peter C. Bartolino, Attorney-in-fact 08/08/2016

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- Represents shares of common stock sold by the reporting person to satisfy certain tax withholding obligations associated with the issuance of common stock relating to the vesting of KSTEPP performance units, as described in the Form 4 filed by the reporting person with the Securities and Exchange Commission on July 25, 2016. This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person on November 11, 2014.
- (1) This transaction was executed in multiple trades at prices ranging from \$43.50 to \$44.49. The price reported above reflects the weighted average sale price for the transactions reported on this line.
 - (2) This transaction was executed in multiple trades at prices ranging from \$44.50 to \$45.49. The price reported above reflects the weighted average sale price for the transactions reported on this line.
 - (3) This transaction was executed in multiple trades at prices ranging from \$45.51 to \$46.44. The price reported above reflects the weighted average sale price for the transactions reported on this line.
 - (4) This transaction was executed in multiple trades at prices ranging from \$46.64 to \$47.03. The price reported above reflects the weighted average sale price for the transactions reported on this line.
 - (5) This transaction was executed in multiple trades at prices ranging from \$47.77 to \$48.55. The price reported above reflects the weighted average sale price for the transactions reported on this line.
 - (6) This transaction was executed in multiple trades at prices ranging from \$49.07 to \$49.48. The price reported above reflects the weighted average sale price for the transactions reported on this line.
 - (7) This transaction was effected pursuant to a Rule 10b5-1 trading plan previously adopted by the reporting person on May 16, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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