#### FERRO CORP Form 3 September 06, 2016 UNITED STATES SECURITIES AND EXCHANGE COMMISSION **OMB APPROVAL** FORM 3 Washington, D.C. 20549 OMB 3235-0104 Number: January 31, **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF** Expires: 2005 **SECURITIES** Estimated average burden hours per Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... 0.5 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement FERRO CORP [FOE] Schlater Benjamin (Month/Day/Year) 09/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer

(Check all applicable)

(give title below) (specify below)

Chief Financial Officer

Director \_X\_\_ Officer

10% Owner

Other

#### 6060 PARKLAND BLVD, SUITE 250

(Street)

### MAYFIELD HEIGHTS Â OHÂ 44124

HEIGHTS,Â	OHÂ 441	24		Reporting Person				
(City)	(State)	(Zip)	Table I - Non-Det	Table I - Non-Derivative Securities Beneficially Owned				
1.Title of Securi (Instr. 4)	ity		2. Amount of Securitie Beneficially Owned (Instr. 4)	es 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
Reminder: Repo owned directly o	•	ate line for eac	ch class of securities beneficially	SEC 1473 (7-0	2)			
Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.								
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)								

1. Title of Derivative Security	2. Date Exercis	sable and	3. Title and A	mount of	4.	5.	6. Nature of Indirect
(Instr. 4) Expiration Date (Month/Day/Year)		Securities Underlying		Conversion	Ownership	Beneficial	
			Derivative Security (Instr. 4)		or Exercise	Form of	Ownership
					Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	T:41-	Amount or Number of Shares	Derivative	Security:	
			Title		Security	Direct (D)	
						or Indirect	
						(I)	

Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

Person

\_X\_ Form filed by One Reporting

Form filed by More than One

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						(Instr. 5)	
Performance Share Unit	(1)	12/31/2017	Common Stock	9,190	\$ 0	D	Â
Performance Share Unit	(1)	12/31/2018	Common Stock	11,900	\$ 0	D	Â
Restricted Share Unit	09/01/2018	09/01/2018	Common Stock	12,030	\$ 0	D	Â
Restricted Share Unit	02/17/2019	02/17/2019	Common Stock	4,700	\$ 0	D	Â
Stock Options (Right to Buy)	09/01/2016	09/01/2025	Common Stock	9,742	\$ 11.97	D	Â
Stock Options (Right to Buy)	02/17/2017	02/17/2026	Common Stock	13,900	\$ 9.6	D	Â

# **Reporting Owners**

<b>Reporting Owner Name / Address</b>	Relationships					
	Director	10% Owner	Officer	Other		
Schlater Benjamin 6060 PARKLAND BLVD SUITE 250 MAYFIELD HEIGHTS, OH 44124	Â	Â	Chief Financial Officer	Â		
Signatures						
/s/ John T. Bingle, Treasurer, by Power of Attorney	of 09/06/2016					
**Signature of Reporting Person			Date			

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Performance Share Units granted as a performance award, vesting based upon degree of acheivement of performance goal. At the end of (1) the performance period, 50% of award is paid in common shares free of restrictions, and 50% is paid in cash. If the final amount is less

than 100% of the share units, the balance is forfeited to the company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.