#### Edgar Filing: SENSIENT TECHNOLOGIES CORP - Form 4

#### SENSIENT TECHNOLOGIES CORP

Form 4

January 03, 2017

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Estimated average

Form filed by More than One Reporting

Person

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Ferruzzi Mario Issuer Symbol SENSIENT TECHNOLOGIES (Check all applicable) CORP [SXT] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner \_ Other (specify Officer (give title (Month/Day/Year) below) 777 E. WISCONSIN AVENUE 12/31/2016 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person

MILWAUKEE, WI 53202

Stock

| (City)                 | (State) (Z                           | Zip) Table                    | I - Non-De | erivative Se            | curities Acc     | quired, Disposed  | of, or Beneficia          | lly Owned             |
|------------------------|--------------------------------------|-------------------------------|------------|-------------------------|------------------|---|---------------------------|-----------------------|
| 1.Title of<br>Security | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if | 3.         | 4. SecuritionAcquired ( |                  | 5. Amount of Securities                                     | 6. Ownership Form: Direct | 7. Nature of Indirect |
| (Instr. 3)             | (Month/Day/Tear)                     | any                           | Code       | Disposed of             | of (D)           | Beneficially  | (D) or                    | Beneficial            |
|                        |                                      | (Month/Day/Year)              | (Instr. 8) | (Instr. 3, 4            | and 5)           | Owned   | Indirect (I)              | Ownership             |
|                        |                                      |                               | Code V     |                         | (A) or (D) Price | Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | (Instr. 4)                | (Instr. 4)            |
| Common<br>Stock        |                                      |                               |            |                         |                  | 1,348.359 (1)   | D                         |                       |
| Common                 |                                      |                               |            |                         |                  | 100 122 (2)   | <b>*</b>                  | Spouse's              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

 $189.132 \frac{(2)}{2}$ 

**ESOP** 

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exerc<br>Expiration D<br>(Month/Day/ | ate                | 7. Title and A Underlying S (Instr. 3 and | Securities                          | 8. Property Section (Institute of Section (I |
|---|---|---|---|---|---|--|--------------------|---|-------------------------------------|--|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable                          | Expiration<br>Date | Title                                     | Amount<br>or<br>Number<br>of Shares |  |
| Deferred<br>Stock                                   | <u>(3)</u>  | 12/31/2016                              |   | A(4)                                    | 66.811  | (5)  | (5)                | Common<br>Stock                           | 66.811                              | \$ 7   |

### **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |         |       |  |  |  |
|--|---------------|-----------|---------|-------|--|--|--|
| Transfer de la companya de la compan | Director      | 10% Owner | Officer | Other |  |  |  |
| Ferruzzi Mario<br>777 E. WISCONSIN AVENUE<br>MILWAUKEE, WI 53202   | X             |           |         |       |  |  |  |

### **Signatures**

/s/ John J. Manning, Attorney-in-Fact for Dr.
Ferruzzi

01/03/2017

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes shares of restricted stock held under Issuer's 2012 Non-Employee Directors Stock Plan, and shares held in a dividend reinvestment plan.
- (2) Represents shares held in Issuer's ESOP as of the end of the month immediately preceding this filing.
- (3) Deferred stock converts to common stock on a one-for-one basis.
- (4) Deferral of director fees under Issuer's Directors' Deferred Compensation Plan.
- (5) Shares of common stock will be issued upon termination of reporting person's service as a director of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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