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ECKSTEIN BERNSTEIN H CAROL

Form 4

December 06, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

Expires:

3235-0287 January 31,

0.5

if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

2005 Estimated average burden hours per

OMB APPROVAL

response...

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * ECKSTEIN BERNSTEIN H **CAROL**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

CABOT MICROELECTRONICS CORP [CCMP]

(Check all applicable)

(First) (Middle) C/O CABOT

3. Date of Earliest Transaction (Month/Day/Year) 12/04/2017

Director 10% Owner X_ Officer (give title Other (specify below) VP, Sec & General Counsel

MICROELECTRONICS CORPORATION, 870 COMMONS **DRIVE**

(Street)

(State)

12/04/2017

12/05/2017

4. If Amendment, Date Original

Applicable Line)

Price

92.42

(D)

D

D

D

D

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

D

D

6. Individual or Joint/Group Filing(Check

AURORA, IL 60504

(City)

Common

Common

Stock

Stock

1. Title of 2. Transaction Date 2A. Deemed 3. Security (Month/Day/Year) Execution Date, if (Instr. 3) Code any (Month/Day/Year) (Instr. 8) Code Common $F^{(1)}$ 12/04/2017 Stock Common 12/04/2017 $\mathbf{F}^{(1)}$ Stock

(Zip)

4. Securities Acquired Transaction(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or

Amount

704

563

739

1,044

 $F^{(1)}$

 $F^{(1)}$

Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) 57,196.291

5. Amount of

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

> 55,894.291 D 54,850.291 D

56,633.291

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Common Stock 12/05/2017 A 2,300 A \$ 57,150.291 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

VP, Sec & General Counsel

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to Buy)	\$ 92.57	12/05/2017		A	7,800	12/05/2018(3)	12/05/2027	Common Stock	7,800

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

ECKSTEIN BERNSTEIN H CAROL C/O CABOT MICROELECTRONICS CORPORATION 870 COMMONS DRIVE AURORA, IL 60504

Signatures

/s/ H. Carol Bernstein 12/06/2017

**Signature of Date Reporting Person

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Withheld to cover for tax purposes as per terms of Omnibus Incentive Plan.
- (2) 2017 (FY18) Restricted Stock Unit Award Vesting Schedule: 25% 12/05/2018, 25% 12/05/2019, 25% 12/05/2020, 25% 12/05/2021.
- (3) 2017 (FY18) Non-Qualified Stock Option Grant Vesting Schedule: 25% 12/05/2018, 25% 12/05/2019, 25% 12/05/2020, 25% 12/05/2021

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.