Daley Dorian Form 4 July 05, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to **SECURITIES** Section 16. Form 4 or

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

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**OMB** 

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**OMB APPROVAL** 

3235-0287

January 31,

2005

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Daley Dorian

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

(Middle)

ORACLE CORP [ORCL]

3. Date of Earliest Transaction

(Check all applicable)

C/O DELPHI ASSET MGMT CORPORATION, 5525 KIETZKE

LAKE, SUITE 200

(Month/Day/Year)

07/02/2018

Director 10% Owner Other (specify \_X\_\_ Officer (give title below) below)

EVP, Gen'l Counsel, Secretary

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

RENO, NV 89511

(City)	(State)	(Zip) Tab	ole I - Non-	-Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transacti Code (Instr. 8)	4. Securit ord Dispos (Instr. 3,	ed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	07/02/2018		M	20,313	A	\$ 0	57,267	D	
Common Stock	07/02/2018		F	10,072 (1)	D	\$ 44.06	47,195	D	
Common Stock	07/02/2018		M	20,312	A	\$ 0	67,507	D	
Common Stock	07/02/2018		F	10,071 (1)	D	\$ 44.06	57,436	D	
Common Stock	07/05/2018		M	50,000	A	\$ 30.11	107,436	D	

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Common Stock S 50,000 S 45.1251 57,436 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(4)</u>	07/02/2018		M	20,313	<u>(5)</u>	<u>(5)</u>	Common Stock	20,313
Restricted Stock Unit	<u>(4)</u>	07/02/2018		M	20,312	(5)	<u>(5)</u>	Common Stock	20,312
Stock Option	\$ 30.11	07/05/2018		M	50,000	<u>(6)</u>	07/01/2023	Common Stock	50,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Daley Dorian C/O DELPHI ASSET MGMT CORPORATION 5525 KIETZKE LAKE, SUITE 200 RENO, NV 89511

EVP, Gen'l Counsel, Secretary

# **Signatures**

/s/ Lori A. Clancy by Lori A. Clancy, Attorney in Fact for Dorian Daley (POA filed 12/24/15)

07/05/2018

\*\*Signature of Reporting Person

Date

Reporting Owners 2

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## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares withheld for payment of tax liability upon vesting of restricted stock units.
- (2) Sale of shares pursuant to Rule 10b5-1 Plan adopted on May 11, 2018.
- This transaction was executed in multiple trades at prices ranging from \$45.00 to \$45.145. The price reported above reflects the weighted
- (3) average sales price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) Each restricted stock unit represents the right to receive, at settlement, one share of common stock.
- (5) Restricted stock units vest in four equal annual installments, beginning one year from date of grant.
- (6) Option vests 25% annually on anniversary of grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.