

Catlett Scott  
Form 3  
July 06, 2018

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |          |                                      |  |  |
|---|----------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |          | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Catlett Scott                           |          | (Month/Day/Year)                     | YUM BRANDS INC [YUM]   |  |
| (Last)                                    | (First)  | (Middle)                             | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
|   |          | 07/01/2018                           |  |  |
| 1441 GARDINER LANE                        |          |                                      | (Check all applicable)   | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
|   | (Street) |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| LOUISVILLE,Â KYÂ 40213                    |          |                                      | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input type="checkbox"/> Form filed by More than One Reporting Person  |
| (City)                                    | (State)  | (Zip)                                | (give title below) (specify below)   |  |
|   |          |                                      | General Counsel and Corp. Sec.   |  |

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock                    | 1,506   | D  | Â   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
|  | Date Exercisable   | Title   | Amount or Number of                                    |  |   |

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|                          |            |            |              | Shares   |          | (I)<br>(Instr. 5) |   |
|--------------------------|------------|------------|--------------|----------|----------|-------------------|---|
| Restricted Stock Units   | 11/19/2018 | 11/19/2018 | Common Stock | 2,151.94 | \$ (1)   | D                 | Â |
| Stock Appreciation Right | 02/06/2014 | 02/06/2023 | Common Stock | 2,393    | \$ 44.81 | D                 | Â |
| Stock Appreciation Right | 02/08/2013 | 02/08/2022 | Common Stock | 2,205    | \$ 45.88 | D                 | Â |
| Stock Appreciation Right | 02/05/2017 | 02/05/2026 | Common Stock | 7,567    | \$ 49.66 | D                 | Â |
| Stock Appreciation Right | 02/05/2015 | 02/05/2024 | Common Stock | 1,832    | \$ 50.22 | D                 | Â |
| Stock Appreciation Right | 02/05/2018 | 02/05/2024 | Common Stock | 3,393    | \$ 50.22 | D                 | Â |
| Stock Appreciation Right | 02/06/2016 | 06/19/2018 | Common Stock | 4,058    | \$ 52.64 | D                 | Â |
| Stock Appreciation Right | 02/10/2018 | 02/10/2027 | Common Stock | 12,354   | \$ 68    | D                 | Â |
| Stock Appreciation Right | 02/12/2019 | 02/12/2028 | Common Stock | 9,680    | \$ 78.07 | D                 | Â |

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |  |       |
|---|---------------|-----------|--|-------|
|   | Director      | 10% Owner | Officer                                | Other |
| Catlett Scott<br>1441 GARDINER LANE<br>LOUISVILLE, KY 40213 | Â             | Â         | Â General<br>Counsel and<br>Corp. Sec. | Â     |

## Signatures

/s/ M. Gayle  
Hobson, POA

07/06/2018

\*\*Signature of Reporting  
Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) No conversion or exercise price. Shares distribute upon vest date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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