

Schroepfer Michael Todd  
 Form 4  
 November 15, 2018

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Schroepfer Michael Todd

(Last) (First) (Middle)  
 C/O FACEBOOK, INC., 1601  
 WILLOW ROAD  
 (Street)

MENLO PARK, CA 94025

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 Facebook Inc [FB]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 11/13/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
 Chief Technology Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 \_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |         |   |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|---------|---|---|
|                                 |                                      |  | Code                           | V   | Amount  | (D)  | Price                             |         |   |   |
| Class A Common Stock            | 11/02/2018                           |  | G                              | V   | 15,439  | D  | \$ 0                              | 14,682  | I | By The Erin Hoffmann 2017 Annuity Trust U/A/D 6/29/2017 (1) |
| Class A Common Stock            | 11/02/2018                           |  | G                              | V   | 15,439  | A  | \$ 0                              | 633,096 | I | By The HS Trust U/A/D                                       |

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|                            |            |                         |                             |   |                              |         |   |  |   |
|----------------------------|------------|-------------------------|-----------------------------|---|------------------------------|---------|---|--|---|
|                            |            |                         |                             |   |                              |         |   |  | 9/28/2011<br><u>(2)</u>   |
| Class A<br>Common<br>Stock | 11/02/2018 | G V                     | 15,439                      | D | \$ 0                         | 14,682  | I |  | By The<br>Michael<br>Schroepfer<br>2017<br>Annuity<br>Trust<br>U/A/D<br>6/29/2017<br><u>(3)</u> |
| Class A<br>Common<br>Stock | 11/02/2018 | G V                     | 15,439                      | A | \$ 0                         | 648,535 | I |  | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br><u>(2)</u>  |
| Class A<br>Common<br>Stock | 11/13/2018 | C                       | <u>66,256</u><br><u>(4)</u> | A | \$ 0                         | 714,791 | I |  | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br><u>(2)</u>  |
| Class A<br>Common<br>Stock | 11/13/2018 | <u>S</u> <sup>(5)</sup> | 20,457                      | D | \$<br>142.4034<br><u>(6)</u> | 694,334 | I |  | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br><u>(2)</u>  |
| Class A<br>Common<br>Stock | 11/13/2018 | <u>S</u> <sup>(5)</sup> | 12,708                      | D | \$<br>143.2228<br><u>(7)</u> | 681,626 | I |  | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br><u>(2)</u>  |
| Class A<br>Common<br>Stock | 11/13/2018 | <u>S</u> <sup>(5)</sup> | 4,999                       | D | \$<br>144.2858<br><u>(8)</u> | 676,627 | I |  | By The HS<br>Trust<br>U/A/D<br>9/28/2011<br><u>(2)</u>  |
| Class A<br>Common<br>Stock |            |                         |                             |   |                              | 588,694 | D |  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)       | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title   | Amount or Number of Shares |
| Stock Option (Right to Buy Class B Common Stock) | \$ 2.954   | 11/13/2018                           |  | M                              | 66,256  | <sup>(9)</sup> 08/18/2019                                | Class B Common Stock <sup>(10)</sup>                        | 66,256                     |
| Class B Common Stock <sup>(10)</sup>             | <sup>(10)</sup>  | 11/13/2018                           |  | M                              | 66,256  | <sup>(10)</sup> <sup>(10)</sup>                          | Class A Common Stock  | 66,256                     |
| Class B Common Stock <sup>(10)</sup>             | <sup>(10)</sup>  | 11/13/2018                           |  | C                              | 66,256 <sup>(12)</sup>  | <sup>(10)</sup> <sup>(10)</sup>                          | Class A Common Stock  | 66,256                     |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |                          |       |
|---|---------------|-----------|--------------------------|-------|
|   | Director      | 10% Owner | Officer                  | Other |
| Schroepfer Michael Todd<br>C/O FACEBOOK, INC.<br>1601 WILLOW ROAD<br>MENLO PARK, CA 94025 |               |           | Chief Technology Officer |       |

## Signatures

/s/ Michael Johnson as attorney-in-fact for Michael T. Schroepfer  
 11/15/2018  
 \*\*Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) Shares held of record by Erin Hoffmann, Trustee of The Erin Hoffmann 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person's spouse.
- (2) Shares held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/2011.
- (3) Shares held of record by Michael Schroepfer, Trustee of The Michael Schroepfer 2017 Annuity Trust U/A/D 6/29/2017, a grantor retained annuity trust for the benefit of the reporting person.
- (4) Represents the number of shares that were acquired upon the conversion of Class B Common Stock to Class A Common Stock in connection with the exercise of the stock options listed in Table II.
- (5) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the holder.

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$141.85 to \$142.83 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (6) 

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$142.855 to \$143.835 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (7) 

The reported price in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$143.935 to \$144.80 per share, inclusive. The holder undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon written request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (8) 

The option vested as to 1/5th of the total shares on July 15, 2010, after which 1/60th of the total shares vest monthly, subject to continued service through each vesting date. In connection with certain estate planning transfers, the reporting person transferred vested shares underlying the option to Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/11.
- (9) The Class B Common Stock is convertible into the issuer's Class A Common Stock on a 1-for-1 basis (a) at the holder's option or (b) upon certain transfers of such shares, and has no expiration date.
- (10) Options held of record by Michael Schroepfer and Erin Hoffmann, Co-Trustees of The HS Trust U/A/D 9/28/2011.
- (11) The holder elected to convert the shares of Class B Common Stock into Class A Common Stock on a 1-for-1 basis.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.