

Matthew M. Rankin & James T. Rankin Co-Trustees for William Alexander Rankin U/A/D May 10, 2007
 Form 4
 January 02, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
 Matthew M. Rankin & James T. Rankin Co-Trustees for William Alexander Rankin U/A/D May 10, 2007

(Last) (First) (Middle)

5875 LANDERBROOK DRIVE

(Street)

MAYFIELD, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
 HYSTER-YALE MATERIALS HANDLING, INC. [HY]

3. Date of Earliest Transaction (Month/Day/Year)
 12/28/2018

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
 Member of a Group

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) | Price | | |
| Class A Common Stock | 12/28/2018 | | P | 3 ⁽¹⁾ | A \$ 61.09 ₍₂₎ | 531 | I Proportionate interest in shares held by Rankin Associates VI held in trust |
| Class A Common Stock | 12/28/2018 | | P | 2 ⁽¹⁾ | A \$ 61.63 ₍₃₎ | 533 | I Proportionate interest in shares held by Rankin Associates VI |

| | | | | | | | | |
|----------------------------|------------|---|------------------|---|---------------------------|-------|---|---|
| Class A Common Stock | 12/31/2018 | P | 4 ⁽¹⁾ | A | \$ 61.81 <u>(4)</u> | 537 | I | held in trust Proportionate interest in shares held by Rankin Associates VI held in trust |
| Class A Common Stock | 12/31/2018 | P | 2 ⁽¹⁾ | A | \$ 62.35 <u>(5)</u> | 539 | I | Proportionate interest in shares held by Rankin Associates VI held in trust |
| Class A Common Stock | | | | | | 1,712 | I | Reporting Person's proportionate interests in shares held by Rankin Associates II. |
| Class A Common Stock | | | | | | 563 | D | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) |
|---|--|---|---|--------------------------------------|--|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date Title | Amount or Number of Shares |
| | | | | | | <u>(6)</u> | <u>(6)</u> | 1,712 |

Class B
Common
Stock

Class A
Common
Stock

Class B
Common
Stock

(6)

(6)

(6)

Class A
Common
Stock 563

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|--------------|---------|-------------------|
| | Director | 10% Owner | Officer | Other |
| Matthew M. Rankin & James T. Rankin Co-Trustees for William Alexander Rankin U/A/D May 10, 2007 5875 LANDERBROOK DRIVE MAYFIELD, OH 44124 | | | | Member of a Group |

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

01/02/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2018-Dec-28 -Block 1 Weighted Average- Share Price represents average price between \$60.50 and \$61.49.
- (3) 2018-Dec-28 -Block 2 Weighted Average- Share Price represents average price between \$61.50 and \$62.09.
- (4) 2018-Dec-31 -Block 1 Weighted Average- Share Price represents average price between \$61.20 and \$62.19.
- (5) 2018-Dec-31 -Block 2 Weighted Average- Share Price represents average price between \$62.25 and \$62.45.
- (6) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.