

Sieg Andrew M.  
Form 3  
February 08, 2019

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting  
Person \*

Â Sieg Andrew M.

(Last) (First) (Middle)

100 N TRYON STREET

(Street)

CHARLOTTE,Â NCÂ 28255

(City) (State) (Zip)

2. Date of Event Requiring  
Statement

(Month/Day/Year)

01/30/2019

3. Issuer Name **and** Ticker or Trading Symbol

BANK OF AMERICA CORP /DE/ [BAC]

4. Relationship of Reporting  
Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner

X Officer \_\_\_ Other

(give title below) (specify below)

Pres, Merrill Lynch Wlth Mgmt

5. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

X Form filed by One Reporting  
Person

\_\_\_ Form filed by More than One  
Reporting Person

### Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security  
(Instr. 4)

2. Amount of Securities  
Beneficially Owned  
(Instr. 4)

3. Ownership  
Form:  
Direct (D)  
or Indirect  
(I)  
(Instr. 5)

4. Nature of Indirect Beneficial  
Ownership  
(Instr. 5)

Common Stock

1,859

I

Charitable Remainder Unitrust

Common Stock

130,586.1787

D

Â

Common Stock

12,618.8568

I

By Spouse

Reminder: Report on a separate line for each class of securities beneficially  
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form displays a  
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### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security  
(Instr. 4)

2. Date Exercisable and  
Expiration Date  
(Month/Day/Year)

3. Title and Amount of  
Securities Underlying  
Derivative Security

4. Conversion  
or Exercise

5. Ownership  
Form of

6. Nature of Indirect  
Beneficial Ownership  
(Instr. 5)

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
2016 Restricted Stock Units	Â (1)	02/15/2019	Common Stock	55,556	\$ (2)	D	Â
2017 Restricted Stock Units	Â (3)	02/15/2020	Common Stock	64,406	\$ (2)	D	Â
2018 Restricted Stock Units	Â (4)	02/15/2021	Common Stock	88,689	\$ (2)	D	Â
Restricted Stock Units	Â (5)	01/25/2023	Common Stock	150,000	\$ (2)	D	Â

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sieg Andrew M. 100 N TRYON STREET CHARLOTTE, NC 28255	Â	Â	Â Pres, Merrill Lynch Wlth Mgmt	Â

## Signatures

Andrew M. Sieg/Amanda D.  
Daniel POA

02/08/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The reporting person was previously granted units, vesting in three equal annual installments commencing on February 15, 2017.
- (2) Each unit represents a contingent right to receive one share of Bank of America Corporation common stock.
- (3) The reporting person was previously granted units, vesting in three equal annual installments commencing on February 15, 2018.
- (4) The reporting person was previously granted units, vesting in three equal annual installments commencing on February 15, 2019.
- (5) The reporting person was previously granted units, vesting in two equal annual installments commencing on January 25, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.