

RANKIN JAMES T
Form 4
March 19, 2019

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN JAMES T

2. Issuer Name and Ticker or Trading Symbol
HYSTER-YALE MATERIALS HANDLING, INC. [HY]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
5875 LANDERBROOK DRIVE
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
03/18/2019

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Member of a Group

MAYFIELD HEIGHTS, OH 44124
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	03/18/2019		P	1 ⁽¹⁾ A	\$ 62.94 579 ₍₂₎	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/18/2019		P	1 ⁽¹⁾ A	\$ 62.94 579 ₍₂₎	I	Co-trustee of trust fbo nephew. Reflects

Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 62.94 <u>(2)</u>	489	I	proportionate interests in Rankin Assoc VI shares Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 62.94 <u>(2)</u>	581	I	Spouse's proportionate interest in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 62.94 <u>(2)</u>	579	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 62.94 <u>(2)</u>	579	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	2 <u>(1)</u>	A	\$ 64.08 <u>(4)</u>	581	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/18/2019	P	2 <u>(1)</u>	A	\$ 64.08 <u>(4)</u>	581	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 64.08 <u>(4)</u>	490	I	Proportionate interest in shares held by

Class A Common Stock	03/18/2019	P	2 <u>(1)</u>	A	\$ 64.08 <u>(4)</u>	583	I	Rankin Associates VI Spouse's proportionate interest in shares held by Rankin Associates VI <u>(3)</u>
Class A Common Stock	03/18/2019	P	2 <u>(1)</u>	A	\$ 64.08 <u>(4)</u>	581	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	2 <u>(1)</u>	A	\$ 64.08 <u>(4)</u>	581	I	Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 64.88 <u>(5)</u>	582	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 64.88 <u>(5)</u>	582	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 64.88 <u>(5)</u>	491	I	Proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 64.88 <u>(5)</u>	582	I	Child's proportionate interest in shares held by Rankin

Edgar Filing: RANKIN JAMES T - Form 4

Class A Common Stock	03/18/2019	P	1 <u>(1)</u>	A	\$ 64.88 <u>(5)</u>	582	I	Associates VI Child's proportionate interest in shares held by Rankin Associates VI
Class A Common Stock	03/19/2019	P	3 <u>(1)</u>	A	\$ 64.52 <u>(6)</u>	585	I	Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock	03/19/2019	P	3 <u>(1)</u>	A	\$ 64.52 <u>(6)</u>	585	I	Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc VI shares
Class A Common Stock						9,562	I	proportionate limited partnership interests in shares held by RA II LP
Class A Common Stock						97	I	proportionate interest in shares held by Rankin Associates V
Class A Common Stock						569	I	child's proportionate limited partnership interest in shares held by Rankin Associates II
Class A Common Stock						15,853	I	Held by Trust
Class A Common						862	I	Spouse's proportionate

Edgar Filing: RANKIN JAMES T - Form 4

Stock				limited partnership interests in shares held by Rankin Associates II LP ⁽³⁾
Class A Common Stock	563	I		Shares held by spouse ⁽³⁾
Class A Common Stock	761	I		Minor child's proportionate interests in shares held by Rankin Associates II. ⁽³⁾
Class A Common Stock	1,860	I		Co-trustee of trust fbo niece. Reflects proportionate interests in Rankin Assoc II shares. ⁽³⁾
Class A Common Stock	645	I		co-trustee of trust for the benefit of minor niece ⁽³⁾
Class A Common Stock	1,712	I		Co-trustee of trust fbo nephew. Reflects proportionate interests in Rankin Assoc II shares. ⁽³⁾
Class A Common Stock	563	I		co-trustee of the trust for the benefit of the minor nephew ⁽³⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

SEC 1474
(9-02)

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	9,562
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	569
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	15,683
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	862
Class B Common Stock	(7)							(7)	(7)	Class A Common Stock	563
	(7)							(7)	(7)		761

Class B
Common
Stock

Class A
Common
Stock

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

1,860

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

645

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

1,712

Class B
Common
Stock

(7)

(7)

(7)

Class A
Common
Stock

563

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

RANKIN JAMES T
5875 LANDERBROOK DRIVE
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Suzanne S. Taylor,
attorney-in-fact

03/19/2019

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares purchased pursuant to 10b5-1 plan
- (2) 2019-Mar-18 -Block 1 Weighted Average- Share Price represents average price between \$62.44 and \$63.36.
- (3) Reporting Person disclaims beneficial ownership of all such shares.
- (4) 2019-Mar-18 -Block 2 Weighted Average- Share Price represents average price between \$63.54 and \$64.53.
- (5) 2019-Mar-18 -Block 3 Weighted Average- Share Price represents average price between \$64.56 and \$64.94.
- (6) 2019-Mar-19-Weighted Average - Share Price represents average price between \$64.26 and \$64.99.
- (7) N/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.