Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

REGENERON PHARMACEUTICALS INC

Form 4 July 29, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

January 31, Expires: 2005 Estimated average

OMB APPROVAL

Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

burden hours per response... 0.5

may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Sanofi

REGENERON PHARMACEUTICALS INC

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director Officer (give title _X__ 10% Owner _ Other (specify

Ownership

(Instr. 4)

(Month/Day/Year)

Filed(Month/Day/Year)

07/27/2015

(Month/Day/Year)

[REGN]

54, RUE LA BOETIE (Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Owned

X Form filed by One Reporting Person Form filed by More than One Reporting

Direct (D)

Person

below)

PARIS, 10 75008

Stock

(City)	(State)	(Zip) Tal	ole I - Non-	Derivative Securities Acquir	ired, Disposed of, or Beneficially Owned					
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securities Acquired (A)	5. Amount of	6.	7. Nature of			
Security	(Month/Day/Year)	Execution Date, if	Transactio	or Disposed of (D)	Securities	Ownership	Indirect			
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)	Beneficially	Form:	Beneficial			

							Following Reported	or Indirect
					(A) or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)
		Code	V	Amount	(D)	Price	(IIISu. 3 allu 4)	
Common	07/27/2015	P		500 (1)	A	\$ 530.708	22,859,644	I

(Instr. 8)

Couc	v	Amount	(D)	1 1100			
P		500 <u>(1)</u> <u>(2)</u>	A	\$ 530.708 (1) (3)	22,859,644	I	See note (4)

Common Stock	07/27/2015	P	674 (1) (2) A	\$ 532.3597 (1) (5)	22,860,318	I	See note (4)
-----------------	------------	---	------------------	---------------------	------------	---	--------------

Common			823 (1)	\$			See note
Common	07/27/2015	D	623 <u>C</u>	533 1027	22,861,141	T	See note
Stock	0112112013	Г	(2)	333.1941	22,001,141	1	(4)
Stock			<u> </u>	(1) (6)			<u>~</u>

Common	07/27/2015	P	$700 \frac{(1)}{1}$ A	\$	22,861,841	I	See note
Stock			(2)	534.3414			<u>(4)</u>

Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

					<u>(1)</u> <u>(7)</u>			
Common Stock	07/27/2015	P	305 <u>(1)</u> <u>(2)</u>	A	\$ 535.7123 (1) (8)	22,862,146	I	See note (4)
Common Stock	07/27/2015	P	1,633 (1) (2)	A	\$ 537.101 (1) (9)	22,863,779	I	See note (4)
Common Stock	07/27/2015	P	948 <u>(1)</u> <u>(2)</u>	A	\$ 537.8604 (1) (10)	22,864,727	I	See note (4)
Common Stock	07/27/2015	P	1,600 (1) (2)	A	\$ 539.2194 (1) (11)	22,866,327	I	See note (4)
Common Stock	07/27/2015	P	2,503 (1) (2)	A	\$ 540.2032 (1) (12)	22,868,830	I	See note (4)
Common Stock	07/27/2015	P	700 <u>(1)</u> <u>(2)</u>	A	\$ 541.1486 (1) (13)	22,869,530	I	See note
Common Stock	07/27/2015	P	253 <u>(1)</u> <u>(2)</u>	A	\$ 542.2822 (1) (14)	22,869,783	I	See note

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	:	ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Of

Director 10% Owner Officer Other

Sanofi

54, RUE LA BOETIE X PARIS, 10 75008

Signatures

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities Law

07/29/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN"). The shares were purchased pursuant to a plan intended to comply with Rule 10b5-1(c)(1)(i) under the Exchange Act, entered into with a broker on May 27, 2015.
- (3) Purchase prices range from \$530.50 to \$530.93 per share, inclusive.
 - Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares
- beneficially owned directly by SAAN and Aventis was 20,070,231 shares and 2,799,552 shares, respectively. Pursuant to the Amended and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuer's Board of Directors.
- (5) Purchase prices range from \$531.91 to \$532.75 per share, inclusive.
- (6) Purchase prices range from \$532.91 to \$533.76 per share, inclusive.
- (7) Purchase prices range from \$534.07 to \$534.82 per share, inclusive.
- (8) Purchase prices range from \$535.46 to \$536.25 per share, inclusive.
- (9) Purchase prices range from \$536.51 to \$537.46 per share, inclusive.
- (10) Purchase prices range from \$537.58 to \$538.37 per share, inclusive.
- (11) Purchase prices range from \$538.75 to \$539.70 per share, inclusive.
- (12) Purchase prices range from \$539.80 to \$540.75 per share, inclusive.
- (13) Purchase prices range from \$540.84 to \$541.75 per share, inclusive.
- (14) Purchase prices range from \$541.99 to \$542.46 per share, inclusive.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3