Marcus Gregory S Form 4 August 03, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction

Form 4 or

Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

THE MARCUS

1. Name and Address of Reporting Person \* Marcus Gregory S

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

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**OMB** 

Number:

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response...

Estimated average

burden hours per

08/01/2017

(Last) (First) MARCUS CORP [MCS]

(Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner X\_ Officer (give title Other (specify below)

(Check all applicable)

CORPORATION, 100 E.

(Street)

**WISCONSIN AVE., SUITE 1900** 

President and CEO

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6. Individual or Joint/Group Filing(Check

#### MILWAUKEE, WI 53202

(City)	(State)	(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit or(A) or Di (Instr. 3, 4)	sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	08/01/2017		M	15,000	` /		121,809	D			
Common Stock	08/01/2017		F	11,068	D	\$ 27.65	110,741	D			
Common Stock							75	I	As custodian (1)		
Common Stock							7,353.43 (2)	I	By 401(k) Plan		

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number of ctionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (right to buy) (granted 8/2/07)	\$ 20.4	08/01/2017		M		15,000	<u>(3)</u>	08/02/2017	Common Stock	15,000
Stock Option (right to buy) (granted 7/29/08)	\$ 15.59						(3)	07/29/2018	Common Stock	100,000
Stock Option (right to buy) (granted 7/28/09)	\$ 13.34						(3)	07/28/2019	Common Stock	55,000
Stock Option (right to buy) (granted 7/27/10)	\$ 11.89						(3)	07/27/2020	Common Stock	60,000
Stock Option (right to buy) (granted	\$ 10						(3)	07/26/2021	Common Stock	60,000

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7/26/11)					
Stock Option (right to buy) (granted 7/31/12)	\$ 13.12	(3)	07/31/2022	Common Stock	52,000
Stock Option (right to buy) (granted 7/30/13)	\$ 13.04	(3)	07/30/2023	Common Stock	57,500
Stock Option (right to buy) (granted 7/29/14)	\$ 18.34	(3)	07/29/2024	Common Stock	49,500
Stock Option (right to buy) (granted 7/28/15)	\$ 20.26	(3)	07/28/2025	Common Stock	44,100
Stock Option (right to buy) (granted 3/1/16)	\$ 18.68	(3)	03/01/2026	Common Stock	28,500
Stock Option (right to buy) (granted 2/28/17)	\$ 31.2	(3)	02/28/2027	Common Stock	40,000
Class B Common Stock	<u>(4)</u>	<u>(5)</u>	<u>(6)</u>	Common Stock	191,056
Class B Common Stock	<u>(4)</u>	<u>(5)</u>	<u>(6)</u>	Common Stock	10,786
Class B Common Stock	<u>(4)</u>	<u>(5)</u>	<u>(6)</u>	Common Stock	8,388

Class B
Common (4)
Stock

(5)

(6)

Common Stock

18,233

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Marcus Gregory S THE MARCUS CORPORATION 100 E. WISCONSIN AVE., SUITE 1900 MILWAUKEE, WI 53202

President and CEO

### **Signatures**

/s/ Steven R. Barth, Attorney-in-Fact for Gregory S. Marcus

08/03/2017

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) As sole custodian of the Alexandra Marcus U/WI/UTMA.
- (2) Balance reflects the most current data available with regard to the reporting person's holdings in the 401(k) Plan.
- The options originally granted vest and become exercisable as follows: 40% after 2nd anniversary of the date of grant; 60% after 3rd anniversary; 80% after 4th anniversary; and 100% after 5 years.
- (4) This security is convertible into common stock on a 1-for-1 basis at no cost.
- (5) This security is immediately exercisable.
- (6) No expiration date.
- (7) As sole custodian of the Alexandra Marcus U/WI/UTMA, the Michael Marcus U/WI/UTMA, and the Samantha Marcus U/WI/UTMA.
- (8) As trustee of the Gabriella Marcus Trust, the Daniella Marcus Trust and the Jessica Marcus Trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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