

TRUSTCO BANK CORP N Y
Form 8-K
November 21, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT
TO SECTION 13 OR 11(D) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (date of earliest event reported): November 21, 2017

TrustCo Bank Corp NY
(Exact name of registrant as specified in its charter)

| | | |
|--------------------------------------------------------------|---------------------|---------------------------------------|
| NEW YORK | 0-10592 | 14-1630287 |
| State or Other Jurisdiction of Incorporation or Organization | Commission File No. | I.R.S. Employer Identification Number |

5 SARNOWSKI DRIVE, GLENVILLE, NEW YORK 12302
(Address of principal executive offices)

(518) 377-3311
(Registrant's Telephone Number,
Including Area Code)

NOT APPLICABLE
(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

TrustCo Bank Corp NY

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On November 21, 2017, TrustCo Bank Corp NY (the “Company”) announced that Lisa M. Reutter had been appointed to the boards of directors of the Company and its subsidiary, Trustco Bank, effective immediately. Ms. Reutter was also appointed to each of the boards’ Audit Committee, Compliance Committee, Compensation Committee, Fiduciary Committee, Nominating and Corporate Governance Committee, and Risk Committee. The Company’s board has determined that Ms. Reutter qualifies as “independent” as defined by NASDAQ Rules.

Ms. Reutter has extensive experience in the residential housing market as a former executive with Bellevue Builders Supply of Schenectady, New York and as an owner of LMKD Properties, LLC, a property management firm based in Altamont, New York.

Attached is a copy of the press release labeled as Exhibit 99(a).

Item 9.01. Financial Statements and Exhibits

| <u>Exhibit No.</u> | <u>Description</u> |
|--------------------|--------------------|
|--------------------|--------------------|

| | |
|-------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 99(a) | Press release dated November 21, 2017 announcing that TrustCo Bank Corp NY (the “Company”) appointed Lisa M. Reutter to the Board of Directors of the Company and its subsidiary, Trustco Bank. |
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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Dated: November 21, 2017

TrustCo Bank Corp NY
(Registrant)

By: /s/ Michael M. Ozimek
Michael M. Ozimek
Senior Vice President and
Chief Financial Officer

Exhibits Index

The following exhibits are filed herewith:

| Exhibit No. | Description | Page |
|----------------|---------------------------------------------------------------------------------------------------------------------------------------------------|-------|
| <u>99(a)</u> | Press release dated November 21, 2017, announcing the appointment of Lisa M. Reutter to the Board of Directors of the Company and its subsidiary. | 5 - 6 |