ServisFirst Bancshares, Inc.

Form 4 July 02, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB

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OMB APPROVAL

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SECURITIES obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and A Brock Stanl	address of Repo	rting Person *	2. Issuer Name and Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer			
			ServisFirst Bancshares, Inc. [NONE]	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				
			(Month/Day/Year)	X Director 10% Owner			
POST OFFICE BOX 11643			03/15/2013	Officer (give titleX Other (specify below) Chairman of the Board			
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check			
			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
BIRMINGHAM, AL 35202				Person			
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Ac	quired, Disposed of, or Beneficially Owned			
1 Title of	2 Transaction	Date 2A Dee	med 3 4 Securities Acquired	5 Amount of 6 Ownership 7 Nature			

						•	·		•
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/15/2013		C	16,000	A	<u>(1)</u>	136,000	D	
Common Stock	06/28/2013		S	1,500	D	\$ 41.5	134,500	D	
Common Stock	03/15/2013		C	8,000	A	<u>(1)</u>	11,250	I	By son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
6% Preferred Securities of ServisFirst Capital Trust II	Ш	03/15/2013		С	200	<u>(2)</u>	03/15/2013	Common Stock	8,000	
6% Preferred Securities of ServisFirst Capital Trust II	<u>(1)</u>	03/15/2013		С	400	(2)	03/15/2013	Common Stock	16,000	

Reporting Owners

Reporting Owner Name / Address	Relationships							
F	Director	10% Owner	Officer	Other				
Brock Stanley M. POST OFFICE BOX 11643 BIRMINGHAM, AL 35202	X			Chairman of the Board				
Signatures								
/s/ Edison K. Woodie III, attorney-in-fact		07/02						
**Signature of Reporting Person		Da	te					

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The 6% Preferred Securities automatically and mandatorily converted into shares of ServisFirst Common Stock on March 15, 2013 at a conversion price of \$25 per share.
- The 6% Preferred Securities were convertible, in whole or in part, at any time prior to the earliest to occur of maturity, issuer redemption or mandatory conversion, at the option of the holder, into ServisFirst Common Stock at a conversion price of \$25 per share. Any 6% Preferred Securities which remained outstanding on March 15, 2013 were automatically and mandatorily converted into shares of ServisFirst Common Stock on March 15, 2013 at a conversion price of \$25 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.