#### Edgar Filing: Summer Infant, Inc. - Form 4

Summer Infa Form 4 May 14, 2015												
FORM	1									OMB A	PPROVAL	
-	UNITEDS	STATES				ND EX( D.C. 205		NGE (	COMMISSION	OMB Number:	3235-0287	
Check thi if no long	er									Expires:	January 31,	
subject to Section 10 Form 4 or Form 5	5. 5.	<b>STATEMENT OF CHANGES IN BENEFICIAL OWNERSH</b> <b>SECURITIES</b> Filed pursuant to Section 16(a) of the Securities Exchange Act of								Estimated a burden hou response	irs per	
obligation may conti <i>See</i> Instru 1(b).	$\frac{1}{1}$ Section 17(a)	a) of the		ility H	old	ing Com	ipany	Act of	f 1935 or Section	n		
(Print or Type R	esponses)											
WYNNEFIE	ddress of Reporting F ELD PARTNERS		Symbol			Ticker or '		ıg	5. Relationship of Issuer	Reporting Per	son(s) to	
			Summer			-	1RJ		(Check all applicable)			
				3. Date of Earliest Transaction (Month/Day/Year) 05/12/2015					Director     _X_ 10% Owner       Officer (give title     _Other (specify below)			
(Street) 4. If Amer			mendment, Date Original					6. Individual or Joint/Group Filing(Check				
Filed(Mon NEW YORK, NY 10123			nth/Day/Year)					Applicable Line) Form filed by One Reporting Person X_ Form filed by More than One Reporting				
(City)		(Zip)		<b>.</b>	D	• .• .			Person			
					1-De			-	uired, Disposed of		-	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Executio any		Code		n(A) or Di (D)	ispose 4 and	d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
						(A) or			Transaction(s) (Instr. 3 and 4)			
Common Stock, par				Code		Amount		Price	· · · · ·			
value \$0.0001 per share	05/12/2015			Р		2,247	A	\$ 2.64	1,029,210	D <u>(1)</u>		
Common Stock, par value \$0.0001 per share	05/12/2015			Р		5,153	A	\$ 2.64	2,433,190	I	See Footnote (2) $(3)$ $(4)$	
Common Stock, par	05/13/2015			Р		790	А	\$ 2.6	1,030,000	D <u>(1)</u>		

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value \$0.0001 per share								
Common Stock, par value \$0.0001 per share	05/13/2015	Р	1,810	A	\$ 2.6	2,435,000	Ι	See Footnote $(2)$ $(3)$ $(4)$
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.								

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transactie	5. onNumber	6. Date Exer Expiration D		7. Title and Amount of		
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securities	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3 and	d 4)	Owne
	Security				Acquired					Follo
					(A) or					Repo
					Disposed					Trans
					of (D)					(Instr
					(Instr. 3,					
					4, and 5)					
								Amo	unt	
						Date	Expiration	or Title Num		

			Date Exercisable	Expiration Date	Title	Number
Code V	(A)	(D)				Shares

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
I solution to the second	Director	10% Owner	Officer	Other	
WYNNEFIELD PARTNERS SMALL CAP VALUE LP 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х			
WYNNEFIELD PARTNERS SMALL CAP VALUE LP I 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х			
WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND LTD 450 SEVENTH AVENUE		Х			

#### **Reporting Owners**

SUITE 509 NEW YORK, NY 10123	
WYNNEFIELD CAPITAL MANAGEMENT LLC 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	х
WYNNEFIELD CAPITAL INC 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	х
Wynnefield Capital, Inc. Profit Sharing Plan 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	Х
OBUS NELSON 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	Х
LANDES JOSHUA 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	х

# Signatures

WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. I By: Wynnefield Capital	
Management, LLC General Partner By: /s/ Nelson Obus Nelson Obus, Managing Member	05/14/2015
<u>**</u> Signature of Reporting Person	Date
WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P. By: Wynnefield Capital Management, LLC General Partner By: /s/ Nelson Obus Nelson Obus, Managing Member	05/14/2015
<u>**</u> Signature of Reporting Person	Date
WYNNEFIELD SMALL CAP VALUE OFFSHORE FUND, LTD. By: Wynnefield Capital, Inc. By: /s/ Nelson Obus Nelson Obus, President	05/14/2015
<u>**</u> Signature of Reporting Person	Date
WYNNEFIELD CAPITAL, INC. PROFIT SHARING PLAN By: /s/ Nelson Obus Nelson Obus, Portfolio Manager	05/14/2015
<u>**</u> Signature of Reporting Person	Date
WYNNEFIELD CAPITAL MANAGEMENT, LLC By: /s/ Nelson Obus Nelson Obus, Managing Member	05/14/2015
<u>**</u> Signature of Reporting Person	Date
WYNNEFIELD CAPITAL, INC. By: /s/ Nelson Obus Nelson Obus, President	05/14/2015
<u>**</u> Signature of Reporting Person	Date
/s/ Nelson Obus Nelson Obus, individually	05/14/2015

\*\*Signature of Reporting Person

/s/ Joshua Landes Joshua Landes, individually

<u>\*\*</u>Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Person directly beneficially owns 1,030,000 shares of common stock, \$0.0001 par value per share ("Common Stock") of Summer Infant, Inc. (the "Issuer"). Wynnefield Capital Management, LLC, as the sole general partner of the Reporting Person, has an

(1) indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 1,549,664 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P. I, as member of a group under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Wynnefield Partners Small Cap Value, L.P. I, which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital Management,

(2) Same address as the Reporting Ferson, is fining this statement jointry with the Reporting Ferson. Wymerield Capital Management, LLC, as the sole general partner of Wymerield Partners Small Cap Value, L.P. I, has an indirect beneficial ownership interest in the shares of Common Stock that Wymerield Partners Small Cap Value L.P. I directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wymerield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wymerield Partners Small Cap Value, L.P. I directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 800,336 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd., as member of a group under Section 13(d) of the Exchange Act. Wynnefield Small Cap Value Offshore Fund, Ltd., which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital, Inc. as the sole investment manager of Wynnefield Small Cap Value

(3) Statement jointy with the Reporting Person. Wynnefield Capital, Inc. as the sole investment manager of Wynnefield Small Cap Value Offshore Fund, Ltd., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns. Nelson Obus and Joshua Landes, as principal executive officers of Wynnefield Capital, Inc., have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 85,000 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan, as member of a group under Section 13(d) of the Exchange Act. Wynnefield

(4) Capital, Inc. Profit Sharing Plan, which maintains offices at the same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Mr. Obus, as portfolio manager, has the power to vote and dispose of Wynnefield Capital, Inc. Profit Sharing Plan's investments in securities and has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital, Inc. Profit Sharing Plan directly beneficially owns.

#### **Remarks:**

Each of the Reporting Owners identified in this statement disclaims beneficial ownership of the securities described in this sta

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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Date

05/14/2015

Date