

Sorrento Therapeutics, Inc.  
 Form 3  
 May 03, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|  |  |   |  |  |
|--|--|---|--|--|
| 1. Name and Address of Reporting Person *<br>Asia Pacific MedTech (BVI) Ltd<br>(Last) (First) (Middle) | 2. Date of Event Requiring Statement<br>(Month/Day/Year)<br>04/19/2017 | 3. Issuer Name and Ticker or Trading Symbol<br>Sorrento Therapeutics, Inc. [SRNE] | 4. Relationship of Reporting Person(s) to Issuer<br><br>(Check all applicable) | 5. If Amendment, Date Original Filed(Month/Day/Year) |
|--|--|---|--|--|

C/O OFFSHORE INCORPORATIONS LIMITED, OFFSHORE INCORPORATIONS CENTRE POBOX 957

(Street)

ROAD TOWN, TORTOLA, D8 VG110

(City) (State) (Zip)

\_\_\_\_ Director     10% Owner  
 \_\_\_\_ Officer    \_\_\_\_ Other  
 (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 \_\_\_\_ Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Beneficially Owned**

| 1. Title of Security<br>(Instr. 4) | 2. Amount of Securities Beneficially Owned<br>(Instr. 4) | 3. Ownership Form:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 5) | 4. Nature of Indirect Beneficial Ownership<br>(Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock                       | 9,301,801  | D <u>(1)</u>  | ^  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security<br>(Instr. 4) | 2. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) | 4. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 5. Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | 6. Nature of Indirect<br>Beneficial<br>Ownership<br>(Instr. 5) |                  |   |
|---|--|--|--|--|--|------------------|---|
|   | Date<br>Exercisable  | Expiration<br>Date   | Title  | Amount or<br>Number of<br>Shares   |  |                  |   |
| Common Stock<br>Warrants (Right to Buy)       | 06/07/2016   | 06/07/2019   | Common<br>Stock  | 261,438  | \$ 8.5   | D <sup>(1)</sup> | Â |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| Asia Pacific MedTech (BVI) Ltd<br>C/O OFFSHORE INCORPORATIONS LIMITED<br>OFFSHORE INCORPORATIONS CENTRE POBOX 957<br>ROAD TOWN, TORTOLA, D8 VG110 | Â             | Â X       | Â       | Â     |
| Gu Nana<br>C/O OFFSHORE INCORPORATIONS LIMITED<br>OFFSHORE INCORPORATIONS CENTRE POBOX 957<br>ROAD TOWN, TORTOLA, D8 VG1110                       | Â             | Â X       | Â       | Â     |

## Signatures

|   |            |
|---|------------|
| /s/ Nana Gu, Asia Pacific MedTech (BVI)<br>Limited-Director | 05/03/2017 |
| __Signature of Reporting Person                             | Date       |
| /s/ Nana Gu   | 05/03/2017 |
| __Signature of Reporting Person                             | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 3 is being filed by Asia Pacific MedTech (BVI) Limited as the direct beneficial owner of the shares of Common Stock and (1) Common Stock Warrants. Nana Gu is the indirect beneficial owner of the shares of Common Stock and Common Stock Warrants in her capacity as the sole director and sole shareholder of Asia Pacific MedTech (BVI) Limited.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.