

Edgar Filing: YUM BRANDS INC - Form 8-K

YUM BRANDS INC
Form 8-K
July 13, 2004

=====

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported)
July 13, 2004

Commission file number 1-13163

YUM! BRANDS, INC.
(Exact name of registrant as specified in its charter)

North Carolina

13-3951308

(State or other jurisdiction
of incorporation or organization)

(IRS Employer
Identification No.)

1441 Gardiner Lane, Louisville, Kentucky
(Address of principal executive offices)

40213
(Zip Code)

Registrant's telephone number, including area code: (502) 874-8300

Former name or former address, if changed since last report: N/A

=====

Item 12. Results of Operations and Financial Condition

The information in this Form 8-K is furnished under "Item 12. Disclosure of Results of Operations and Financial Condition" in accordance with SEC Release No. 33-8176. The information in

Edgar Filing: YUM BRANDS INC - Form 8-K

this Form 8-K and the Exhibit attached hereto shall not be deemed filed for purposes of Section 18 of the Securities Act of 1934, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, except as shall be expressly set forth by specific reference in such filing.

On July 13, 2004 YUM! Brands, Inc. issued a press release announcing financial results for the quarter ended June 12, 2004. A copy of the press release is attached hereto as Exhibit 99.1.

99.1 Press release dated July 13, 2004 announcing financial results of the quarter ended June 12, 2004.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

YUM! BRANDS, INC.

(Registrant)

Date: July 13, 2004

/s/ Gregory N. Moore

Senior Vice President and Controller
(Principal Accounting Officer)

MADDREY E ERWIN II 2. Issuer Name and Ticker or Trading Symbol
DELTA APPAREL INC [DLA] 5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director
 Officer (give title below)
(Last)

10% Owner
 Other (specify below)
(First)

(Middle)

233 NORTH MAIN STREET, SUITE 200 3. Date of Earliest Transaction (Month/Day/Year)
04/24/2006

(Street)

GREENVILLE, SC 29601 4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person

Edgar Filing: YUM BRANDS INC - Form 8-K

___ Form filed by More than One Reporting Person

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)		
			Code	V	Amount	(A) or (D)	Price		
Common Stock, \$.01 Par Value	04/24/2006		S		100 <u>(1)</u>	D	\$ 17.5	1,042,560 <u>(2)</u>	D
Common Stock, \$.01 Par Value	04/24/2006		S		2,900 <u>(1)</u>	D	\$ 17.3	1,039,660 <u>(2)</u>	D
Common Stock, \$.01 Par Value	04/25/2006		S		3,000 <u>(1)</u>	D	\$ 17.4	1,036,660 <u>(2)</u>	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nature of Derivative Security (Instr. 5)	
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other

MADDREY E ERWIN II
233 NORTH MAIN STREET
SUITE 200
GREENVILLE, SC 29601

X

Signatures

By: Martha M.
Watson, POA

04/26/2006

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Sale pursuant to Rule 10b5-1 trading plan.

(2) Excludes 86,294 shares of the Company's common stock held by the E. Erwin and Nancy B. Maddrey, II Foundation, a charitable trust, as to which shares Mr. Maddrey holds sole voting and investment power, but disclaims beneficial ownership.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.