Edgar Filing: HALOZYME THERAPEUTICS INC - Form 4

HALOZYME THERAPEUTICS INC

Form 4

December 22, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

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OMB APPROVAL

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940 1(b).

(Middle)

(Zip)

(Print or Type Responses)

(Last)

(City)

1. Name and Address of Reporting Person * RAMSAY DAVID A

2. Issuer Name and Ticker or Trading

Symbol

HALOZYME THERAPEUTICS INC [HALO]

3. Date of Earliest Transaction

(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

11388 SORRENTO VALLEY **ROAD**

(First)

(Street)

(State)

12/22/2014

Director 10% Owner X_ Officer (give title Other (specify

below) VP and Chief Financial Officer

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SAN DIEGO, CA 92121

		Tubi	CI MON D	on Derivative Securities required, Disposed oi, or Deficiently Owned						
1.Title of	2. Transaction Date		3.	4. Securit		Acquired 5. Amount of sed of (D) Securities Form: Direct Inc d 5) Beneficially (D) or Be Owned Indirect (I) Ov Following (Instr. 4) (In Reported Transaction(s) r (Instr. 3 and 4)				
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)			Securities	Form: Direct	Indirect		
(Instr. 3)		any	Code	(Instr. 3, 4 and 5)			Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)				Owned	Indirect (I)	Ownership	
							Following	(Instr. 4)	(Instr. 4)	
							Reported			
					(A)		Transaction(s)			
					or		(Instr. 3 and 4)			
			Code V	Amount	(D)	Price	(moure une i)			
Common	12/22/2014		M	17,625	Α	\$ 0	261 175	D		
Stock	12/22/2014		IVI	17,023	A	\$0	361,175	ט		
Common Stock	12/22/2014		F	6,621 (1)	D	\$ 9.46	354,554	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	FransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V		(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0	12/22/2014		M		17,625	12/20/2013	05/22/2023	Common Stock	17,625

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

RAMSAY DAVID A 11388 SORRENTO VALLEY ROAD SAN DIEGO, CA 92121

VP and Chief Financial Officer

Signatures

David A. Ramsay 12/22/2014

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported disposition of 6,621 shares represents the shares that were withheld by the issuer as payment for minimum statutory tax withholding requirements.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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