#### Edgar Filing: WSFS FINANCIAL CORP - Form 3

#### WSFS FINANCIAL CORP

Form 3 June 03, 2016

## FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

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**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

C/O WSFS FINANCIAL

CORPORATION, Â 500 DELAWARE AVENUE

Person \*

Clark Stephen P

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

05/11/2016

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

WSFS FINANCIAL CORP [WSFS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

10% Owner Director \_X\_\_ Officer \_ Other

(give title below) (specify below) **EVP** 

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

WILMINGTON, DEÂ 19801

(Street)

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security 2. Amount of Securities

(Instr. 4)

(Instr. 4)

Beneficially Owned

Ownership Form: Direct (D)

3.

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Common Stock

8,420

D

Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4. Conversion or Exercise Price of

6. Nature of Indirect 5. Ownership Beneficial Form of Ownership Derivative (Instr. 5)

Derivative Security:

1

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	02/23/2013(1)	02/23/2017	Common Stock	7,269	\$ 13.63	D	Â
Employee Stock Option (right to buy)	02/28/2014(2)	02/28/2018	Common Stock	6,930	\$ 15.83	D	Â
Employee Stock Option (right to buy)	02/27/2015(3)	02/27/2021	Common Stock	2,142	\$ 23.82	D	Â
Employee Stock Option (right to buy)	02/27/2015(3)	02/27/2021	Common Stock	687	\$ 23.82	D	Â
Employee Stock Option (right to buy)	04/15/2016(4)	02/26/2022	Common Stock	209	\$ 26.24	D	Â
Employee Stock Option (right to buy)	04/15/2016(4)	02/26/2022	Common Stock	273	\$ 26.24	D	Â
Employee Stock Option (right to buy)	04/15/2017(5)	02/25/2023	Common Stock	5,097	\$ 29.86	D	Â
Employee Stock Option (right to buy)	04/15/2017(5)	02/25/2023	Common Stock	928	\$ 29.86	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Othe		
Clark Stephen P						
C/O WSFS FINANCIAL CORPORATION	Â	Â	â EVD	â		
500 DELAWARE AVENUE	A	A	ALVI	A		
WILMINGTON, DE 19801						

# **Signatures**

/s/ Stephen P. Clark by Charles Mosher, Power of Attorney 06/03/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 4 year vest (25% increments) beginning 2/23/2012
- (2) 4 year vest (25% increments) beginning 2/28/2013
- (3) 4 year vest (25% increments) beginning 2/27/2014
- (4) 4 year vest (25% increments) beginning 4/15/2015
- (5) 4 year vest (25% increments) beginning 4/15/2016

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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