

ALIGN TECHNOLOGY INC  
Form 8-K  
April 25, 2018

**UNITED STATES**

**SECURITIES AND EXCHANGE COMMISSION**

**Washington, DC 20549**

**FORM 8-K**

**CURRENT REPORT Pursuant**

**to Section 13 or 15(d) of the**

**Securities Exchange Act of 1934**

Date of report (Date of earliest event reported) April 25, 2018

**ALIGN TECHNOLOGY, INC.**

(Exact Name of Registrant as Specified in Its Charter)

**Delaware**

(State or Other Jurisdiction of Incorporation)

**0-32259**

**94-3267295**

(Commission File Number) (IRS Employer Identification No.)

**2820 Orchard Parkway, San Jose, California 95134**  
(Address of Principal Executive Offices) (Zip Code)

**(408) 470-1000**

(Registrant's Telephone Number, Including Area Code)

Not applicable

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (*see* General Instruction A.2. below):

- ☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- ☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- ☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- ☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter)

Emerging growth company

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If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

ITEM 2.02

RESULTS OF OPERATIONS AND FINANCIAL CONDITIONS

On April 25, 2018, Align Technology, Inc. ("Align") issued a press release and is holding a conference call regarding its financial results for its first quarter ended March 31, 2018. The full text of the press release is furnished as Exhibit 99.1 to this Form 8-K.

This information shall not be deemed "filed" for purposes of Section 18 of the Securities and Exchange Act of 1934, as amended (the "Exchange Act"), or incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as shall be expressly set forth by specific reference in such a filing.

OTHER EVENTS

**Item 8.01.**

On April 25, 2018, Align issued a press release titled "Align Technology Provides Update Regarding SmileDirectClub (SDC) Dispute". A copy of the press release is attached hereto as Exhibit 99.2.

Financial Statements and Exhibits

**Item 9.01.**

(d) Exhibits

**Exhibit No. Description**

<u>99.1</u>	<u>Press Release of Align Technology, Inc. announcing first quarter ended March 31, 2018 financial results</u>
<u>99.2</u>	<u>Press Release of Align Technology, Inc. updating SDC Dispute</u>

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Dated: April 25, 2018 ALIGN  
TECHNOLOGY, INC.

By: /s/ Roger E. George  
Roger E. George  
Sr. Vice President,  
Corporate and Legal  
Affairs

INDEX TO EXHIBITS

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