#### Edgar Filing: CHRISTOPHER PHILIP - Form 3

#### CHRISTOPHER PHILIP

Form 3

February 12, 2007

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **CHRISTOPHER PHILIP** 

(Last)

(First)

(Middle)

Statement

(Month/Day/Year)

02/01/2007

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

UTSTARCOM INC [UTSI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

C/O UT PERSONAL **COMMUNICATIONS** HQ,, 555 WIRELESS BLVD.

(Street)

(Check all applicable)

10% Owner Director \_X\_\_ Officer Other (give title below) (specify below) President, UTS Personal Comm.

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

HAUPPAUGE, ÂNYÂ 11788

(State)

1. Title of Security

(City)

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

**Table I - Non-Derivative Securities Beneficially Owned** 

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

4 Conversion or Exercise Price of

5. 6. Nature of Indirect Ownership Beneficial Form of Ownership

(Instr. 5)

Date Exercisable Expiration

Date

Title Amount or Number of

Derivative Derivative Security: Security Direct (D)

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				Shares		or Indirect (I) (Instr. 5)	
Stock Option (right to buy)	11/05/2005(1)	11/05/2014	Common Stock	200,000	\$ 17.87	D	Â
Stock Option (right to buy)	11/08/2006(1)	11/08/2015	Common Stock	100,000	\$ 6.61	D	Â
Stock Option (right to buy)	02/28/2007(1)	02/28/2016	Common Stock	75,000	\$ 6.25	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
1	Director	10% Owner	Officer	Other	
CHRISTOPHER PHILIP C/O UT PERSONAL COMMUNICATIONS HQ, 555 WIRELESS BLVD. HAUPPAUGE, NY 11788	Â	Â	President, UTS Personal Comm.	Â	

## **Signatures**

By Thomas Savage, as Attorney-in-Fact on behalf of Philip
Christopher

02/12/2007

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Vesting Schedule: 1/4 after one year, 1/36 per month thereafter for the remaining shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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