### Edgar Filing: GILEAD SCIENCES INC - Form 3

#### GILEAD SCIENCES INC

Form 3

February 12, 2014

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GILEAD SCIENCES INC [GILD] A Carter Paul Rutherford (Month/Day/Year) 02/04/2014 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2 ROUNDWOOD (Check all applicable) AVENUE, Â SOUTH **BUILDING STOCKLEY PARK** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) **EVP Commercial Ops** \_X\_ Form filed by One Reporting Person UXBRIDGE. Form filed by More than One MIDDLESEX, X0Â UB11 1AF Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Ownership Beneficially Owned Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 2,861 D Â Common Stock 9,600 (5) D 2,672 (6) Â Common Stock D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)	2. Date Exer Expiration D (Month/Day/Year)	Pate	3. Title and A Securities Ur Derivative So (Instr. 4)	nderlying	4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	(1)	01/20/2021	Common Stock	25,200	\$ 19.085	D	Â
Non-Qualified Stock Option (right to buy)	(2)	01/30/2018	Common Stock	41,360	\$ 21.575	D	Â
Non-Qualified Stock Option (right to buy)	(2)	01/21/2019	Common Stock	69,000	\$ 23.6	D	Â
Non-Qualified Stock Option (right to buy)	(2)	01/28/2020	Common Stock	55,100	\$ 23.755	D	Â
Non-Qualified Stock Option (right to buy)	(1)	01/26/2022	Common Stock	43,200	\$ 24.295	D	Â
Non-Qualified Stock Option (right to buy)	(2)	07/29/2019	Common Stock	70,000	\$ 24.905	D	Â
Non-Qualified Stock Option (right to buy)	(2)	07/30/2018	Common Stock	100,000	\$ 26.99	D	Â
Non-Qualified Stock Option (right to buy)	(1)	02/01/2023	Common Stock	30,240	\$ 40.56	D	Â
Non-Qualified Stock Option (right to buy)	(1)	02/01/2024	Common Stock	38,640	\$ 80.65	D	Â
Restricted Stock Units	(4)	08/01/2017	Common Stock	24,800	\$ <u>(3)</u>	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer EVP	Other		
Carter Paul Rutherford 2 ROUNDWOOD AVENUE SOUTH BUILDING STOCKLEY PARK UXBRIDGE, MIDDLESEX, X0 UB11 1AF	Â	Â	EVP Commercial Ops	Â		
Signatures						
/s/ Brett A. Pletcher by Power of Attorney for Paul	R.	02/12/201	Λ			

Carter

\*\*Signature of Reporting Person Date

Reporting Owners 2

02/12/2014

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## **Explanation of Responses:**

December 31, 2014.

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options have a four year vesting schedule. 25% of the options will vest on the first anniversary date of the grant. The balance will vest 6.25% quarterly thereafter until fully vested.
- (2) The options have a five year vesting schedule. 20% vest on the first anniversary of the date of grant. The balance will vest 5% quarterly thereafter until fully vested.
- (3) Each restricted stock unit represents the contingent right to receive one share of Gilead Sciences, Inc.'s common stock.
- 4,800 restricted stock units have a five year vesting schedule. 20% vest on the first anniversary of the date of grant. The balance will vest(4) 20% every year thereafter until fully vested and 20,000 restricted stock units have a four year vesting schedule. 25% vest on the anniversary of the date of grant until fully vested.
- Represents shares of the Issuer's common stock subject to a specific tranche of the performance share award made to the Reporting Person on January 26, 2012. Such tranche of shares was subject to both performance-vesting and service-vesting requirements. The performance vesting requirement was deemed satisfied on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of the Issuer's Board of Directors. However, the shares will not be actually issued to the Reporting Person until such individual satisfies the applicable service-vesting requirement by continuing in the Issuer's employ through
- Represents shares of the Issuer's common stock subject to a specific tranche of the performance share award made to the Reporting Person on February 1, 2013. Such tranche of shares was subject to both performance-vesting and service-vesting requirements. The performance vesting requirement was deemed satisfied on the date reported in Column 2 above upon certification of performance goal attainment by the Compensation Committee of the Issuer's Board of Directors. However, the shares will not be actually issued to the
- attainment by the Compensation Committee of the Issuer's Board of Directors. However, the shares will not be actually issued to the Reporting Person until such individual satisfies the applicable service-vesting requirement by continuing in the Issuer's employ through December 31, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.