#### Edgar Filing: MERIT MEDICAL SYSTEMS INC - Form 4

#### MERIT MEDICAL SYSTEMS INC

Form 4 June 03, 2014

Common

Stock, No

Par Value

#### **OMB APPROVAL** FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading STANGER KENT W Issuer Symbol MERIT MEDICAL SYSTEMS INC (Check all applicable) [MMSI] 3. Date of Earliest Transaction (Last) (First) (Middle) \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 1600 W MERIT PARKWAY 05/30/2014 Chief Financial Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting SOUTH JORDAN, UT 84095 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired (A) 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) (Instr. 3 and 4) Code V Amount Price Common By 401(k) Stock, No Ι 79,100 plan (1) Par Value Common Stock, No 4.271 (2) D Par Value Family

Limited

Liability

(4)

Company

I

60,001

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| Common<br>Stock, No<br>Par Value | 05/30/2014 | M | 2,870 | A | \$ 9.7               | 717,706 | D |
|----------------------------------|------------|---|-------|---|----------------------|---------|---|
| Common<br>Stock, No<br>Par Value | 05/30/2014 | S | 2,870 | D | \$<br>14.1626<br>(3) | 714,836 | D |
| Common<br>Stock, No<br>Par Value | 05/30/2014 | M | 2,863 | A | \$ 9.7               | 717,699 | D |
| Common<br>Stock, No<br>Par Value | 05/30/2014 | S | 2,863 | D | \$<br>14.1626<br>(3) | 714,836 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | Derivative Expiration Date urities (Month/Day/Year) uired or possed of tr. 3, 4, |                      | 7. Title and A Underlying S (Instr. 3 and | Secu |
|---|---|---|---|--|--|--|--------------------|--|----------------------|---|------|
|   |   |   |   | Code V                                 | (A) (D)  | Date Exercisable   | Expiration<br>Date | Title  | An<br>or<br>Nu<br>of |   |      |
| Non-qualified<br>stock options<br>(right to buy)    | \$ 12.02  |   |   |  |  | 12/18/2004   | 12/18/2014         | Common<br>Stock  | 25                   |   |      |
| Non-qualified<br>stock options<br>(right to buy)    | \$ 11.41  |   |   |  |  | 05/25/2005   | 05/25/2015         | Common<br>Stock  | 18                   |   |      |
| Non-qualified<br>stock options<br>(right to buy)    | \$ 9.71   |   |   |  |  | 12/28/2005   | 12/28/2015         | Common<br>Stock  | 25                   |   |      |
| Non-qualified<br>stock options<br>(right to buy)    | \$ 9.7  | 05/30/2014                              |   | M                                      | 2,863  | 06/27/2008(5)  | 06/27/2014         | Common<br>Stock  | 2                    |   |      |
|   | \$ 9.7  | 05/30/2014                              |   | M                                      | 2,870  | 06/27/2008(5)  | 06/27/2014         |  | 2                    |   |      |

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| Non-qualified<br>stock options<br>(right to buy) |          |               |            | Common<br>Stock |    |
|--|----------|---------------|------------|-----------------|----|
| Non-qualified<br>stock options<br>(right to buy) | \$ 11.53 | 05/21/2009(6) | 05/21/2015 | Common<br>Stock | 43 |
| Non-qualified<br>stock options<br>(right to buy) | \$ 13.82 | 09/26/2010(7) | 09/26/2016 | Common<br>Stock | 25 |
| Non-qualified<br>stock options<br>(right to buy) | \$ 13.75 | 08/11/2012(8) | 08/11/2018 | Common<br>Stock | 80 |

# **Reporting Owners**

| Reporting Owner Name / Address                                   | Relationships |           |                         |       |  |  |
|--|---------------|-----------|-------------------------|-------|--|--|
| Toporous o mar round / radicoss                                  | Director      | 10% Owner | Officer                 | Other |  |  |
| STANGER KENT W<br>1600 W MERIT PARKWAY<br>SOUTH JORDAN, UT 84095 | X             |           | Chief Financial Officer |       |  |  |

# **Signatures**

Kent W. Stanger 06/03/2014

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents plan holdings as of 05/30/2014.
- (2) Employee stock purchase plan holdings as of 05/30/2014.
- The price reported in Column 4 of Table 1 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$14.15 to \$14.20, inclusive. The reporting person undertakes to provide to Merit Medical Systems, Inc., any security holder of Merit Medical Systems, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this Form 4.
- (4) This report shall not be deemed an admission that the reporting person is the beneficial owner of the securities held by K.W.S. Properties LC.
- (5) Becomes exercisable in equal annual installments of 20% commencing 06/27/2008.
- (6) Becomes exercisable in equal annual installments of 20% commencing 05/21/2009.
- (7) Becomes exercisable in equal annual installments of 20% commencing 09/26/2010.
- (8) Becomes exercisable in equal annual installments of 20% commencing 08/11/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3