#### SUN MICROSYSTEMS, INC.

Form 3/A

September 25, 2006

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement SUN MICROSYSTEMS, INC. [SUNW] Fowler John F (Month/Day/Year) 09/05/2006 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 4150 NETWORK CIRCLE 09/15/2006 (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other (give title below) (specify below) SANTA CLARA, Â CAÂ 95054 Form filed by More than One EVP, Systems Group Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities (Instr. 4) Beneficially Owned Ownership Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 137,048 (1) D Ι Common Stock 9,834 Shares held by wife. Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

currently valid OMB control number.

1. Title of Derivative Security	2. Date Exercisable and Expiration Date	3. Title and Amount of Securities Underlying	4. Conversion	5. Ownership	6. Nature of Indirect Beneficial
(Instr. 4)	(Month/Day/Year)	Derivative Security	or Exercise	Form of	Ownership
		(Instr. 4)	Price of	Derivative	(Instr. 5)
			Derivative	Security:	

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	11/11/1999(3)	11/11/2006	Common Stock	24,000	\$ 7.8672	D	Â
Employee Stock Option (Right to Buy)	04/20/2000(3)	04/20/2007	Common Stock	4,000	\$ 12.5313	D	Â
Employee Stock Option (Right to Buy)	08/11/2000(3)	08/11/2007	Common Stock	8,000	\$ 17	D	Â
Employee Stock Option (Right to Buy)	11/10/2000(3)	11/10/2007	Common Stock	20,000	\$ 28.0782	D	Â
Employee Stock Option (Right to Buy)	04/12/2001(3)	04/12/2008	Common Stock	10,000	\$ 40	D	Â
Employee Stock Option (Right to Buy)	04/18/2002(3)	04/18/2009	Common Stock	8,000	\$ 18.58	D	Â
Employee Stock Option (Right to Buy)	09/27/2002(3)	09/27/2009	Common Stock	10,000	\$ 7.91	D	Â
Employee Stock Option (Right to Buy)	11/07/2002(3)	11/07/2009	Common Stock	25,000	\$ 12.59	D	Â
Employee Stock Option (Right to Buy)	11/07/2002(3)	11/07/2009	Common Stock	25,000	\$ 12.59	D	Â
Employee Stock Option (Right to Buy)	03/19/2003(3)	03/19/2010	Common Stock	200	\$ 9.14	D	Â
Employee Stock Option (Right to Buy)	03/19/2003(3)	03/19/2010	Common Stock	5,000	\$ 9.14	D	Â
Employee Stock Option (Right to Buy)	07/25/2003(4)	07/25/2010	Common Stock	8,000	\$ 3.7	D	Â
Employee Stock Option (Right to Buy)	07/25/2003(5)	07/25/2010	Common Stock	26,883	\$ 3.7	D	Â
Employee Stock	05/21/2004(6)	05/21/2011	Common	30,000	\$ 4.2	D	Â

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Option (Right to Buy)			Stock				
Employee Stock Option (Right to Buy)	11/13/2004(7)	11/13/2011	Common Stock	25,000	\$ 4.208	D	Â
Employee Stock Option (Right to Buy)	07/29/2005(8)	07/29/2012	Common Stock	300,000	\$ 3.79	D	Â
Employee Stock Option (Right to Buy)	07/28/2006(9)	07/28/2013	Common Stock	300,000	\$ 3.85	D	Â
Employee Stock Option (Right to Buy)	07/27/2007(10)	07/27/2014	Common Stock	500,000	\$ 4.26	D	Â
Employee Stock Option (Right to Buy)	11/11/1999 <u>(3)</u>	11/11/2006	Common Stock	80,000	\$ 7.8672	I	Options held by wife.
Employee Stock Option (Right to Buy)	04/18/2002(3)	04/18/2009	Common Stock	1,500	\$ 18.58	I	Options held by wife.
Employee Stock Option (Right to Buy)	03/19/2003(3)	03/19/2010	Common Stock	200	\$ 9.14	I	Options held by wife.
Employee Stock Option (Right to Buy)	12/17/2003(11)	07/25/2010	Common Stock	3,000	\$ 3.7	I	Options held by wife.
Employee Stock Option (Right to Buy)	02/09/2006(12)	09/17/2012	Common Stock	3,000	\$ 3.94	I	Options held by wife.

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
. 0	Director	10% Owner	Officer	Other	
Fowler John F 4150 NETWORK CIRCLE SANTA CLARA, CA 95054	Â	Â	EVP, Systems Group	Â	

## **Signatures**

/s/ John F. 09/25/2006 Fowler

\*\*Signature of Date Reporting Person

Reporting Owners 3

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This includes 85,750 shares of unvested restricted stock. This total was incorrectly listed as 137,678 on the Form 3 filed on September 15, 2006.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.
- (3) Immediately.
- (4) This option vests and becomes exercisable in five equal annual installments of 1,600 shares beginning on July 25, 2003.
- (5) This option vests and becomes exercisable in five equal annual installments of approximately 5,377 shares beginning on July 25, 2003.
- (6) This option vests and becomes exercisable in five equal annual installments of 6,000 shares beginning on May 21, 2004.
- (7) This option vests and becomes exercisable in five equal annual installments of 5,000 shares beginning on November 13, 2004.
- (8) This option vests and becomes exercisable in five equal annual installments of 60,000 shares beginning on July 29, 2005.
- (9) This option vests and becomes exercisable in five equal annual installments of 60,000 shares beginning on July 28, 2006.
- (10) This option vests and becomes exercisable in five equal annual installments of 100,000 shares beginning on July 27, 2007.
- (11) This option vests and becomes exercisable in five equal annual installments of 600 shares beginning on December 17, 2003.
- (12) This option vests and becomes exercisable in five equal annual installments of 600 shares beginning on February 9, 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.