

CASTLE A M & CO  
Form 5  
February 14, 2008

# FORM 5

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).  
Form 3 Holdings Reported Form 4 Transactions Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person \*  
SIMPSON MICHAEL

2. Issuer Name and Ticker or Trading Symbol  
CASTLE A M & CO [CAS]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)  
12/31/2007

Director  10% Owner  
 Officer (give title below)  Other (specify below)

3400 NORTH WOLF ROAD

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Reporting

(check applicable line)

FRANKLIN PARK, IL 60131

Form Filed by One Reporting Person  
 Form Filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	Price	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	05/29/2007	Â	P4	75,050.96	A	\$ 0 <sup>(1)</sup>	85,596.96	I <sup>(2)</sup>	Footnote 2
Common Stock	05/29/2007	Â	S4 <sup>(3)</sup>	75,050.96	D	\$ 31.1	10,546	I <sup>(2)</sup>	Footnote 2
Preferred Stock	05/29/2007	Â	S4	500	D	\$ 0 <sup>(1)</sup>	0	I <sup>(2)</sup>	Footnote 2
Common Stock	05/29/2007	Â	P4	56,288.22	A	\$ 0 <sup>(4)</sup>	79,069.22	I <sup>(5)</sup>	Footnote 5
	05/29/2007	Â	S4 <sup>(3)</sup>	56,288.22	D	\$ 31.1	22,781	I <sup>(5)</sup>	

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Common Stock									Footnote 5
Preferred Stock	05/29/2007	Â	S4	375	D	\$ 0 <sup>(4)</sup>	0	I <sup>(5)</sup>	Footnote 5
Common Stock	05/29/2007	Â	P4	15,010.19	A	\$ 0 <sup>(6)</sup>	264,378.19	I <sup>(7)</sup>	Footnote 7
Common Stock	05/29/2007	Â	J4 <sup>(8)</sup>	91,496	D	\$ 0	172,882.19	I <sup>(7)</sup>	Footnote 7
Common Stock	05/29/2007	Â	S4 <sup>(3)</sup>	15,010.19	D	\$ 31.1	157,872	I <sup>(7)</sup>	Footnote 7
Preferred Stock	05/29/2007	Â	S4	100	D	\$ 0 <sup>(6)</sup>	0	I <sup>(7)</sup>	Footnote 7
Common Stock	05/30/2007	Â	I4 <sup>(9)</sup>	1,446	A	\$ 34.58	3,219	D	Â
Common Stock	06/01/2007	Â	J4 <sup>(10)</sup>	91,496	A	\$ 0	94,715	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	100	D	\$ 27.72	94,615	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	100	D	\$ 27.73	94,515	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	600	D	\$ 27.74	93,915	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	681	D	\$ 27.75	93,234	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	100	D	\$ 27.78	93,134	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	400	D	\$ 27.79	92,734	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	1,250	D	\$ 27.8	91,484	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	2,300	D	\$ 27.81	89,184	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	675	D	\$ 27.82	88,509	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	300	D	\$ 27.83	88,209	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	300	D	\$ 27.88	87,909	D	Â
Common Stock	12/11/2007	Â	S4 <sup>(11)</sup>	100	D	\$ 27.9	87,809	D	Â
	12/11/2007	Â	S4 <sup>(11)</sup>	300	D		87,509	D	Â

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Common Stock						\$	27.91		
Common Stock	12/12/2007	Â	G4 <sup>(11)</sup>	2,110	D	\$ 0	85,399	D	Â
Common Stock	Â	Â	3	Â	Â	Â	Â	I <sup>(12)</sup>	Footnote 12
Common Stock	Â	Â	3	Â	Â	Â	Â	I <sup>(13)</sup>	Footnote 13

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Stock Options (Right to Buy)	\$ 7.05	Â	Â	3	Â Â	10/04/2003 10/04/2012	Common Stock	6,000
Stock Options (Right to Buy)	\$ 8.52	Â	Â	3	Â Â	06/01/2005 06/01/2014	Common Stock	7,500
Stock Options (Right to Buy)	\$ 10	Â	Â	3	Â Â	07/27/2001 07/27/2010	Common Stock	10,000
Stock Options (Right to Buy)	\$ 10.35	Â	Â	3	Â Â	06/03/2003 06/03/2012	Common Stock	5,000
Stock Options	\$ 11	Â	Â	3	Â Â	07/26/2002 07/26/2011	Common Stock	10,000



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- (11) Transaction previously reported on Form 4 dated 12/13/2007.
- (12) Beneficiary under Trust dated 7/26/1972 United States Trust Co. and Patrick J. Herbert, III, Trustee.
- (13) Beneficiary under Trust dated 12/23/1976 Northern Turst Co., Trustee.

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